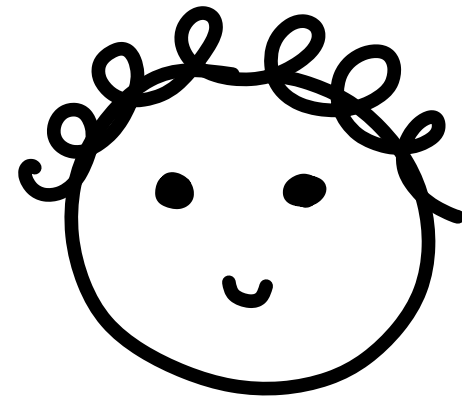


# Zero Knowledge Proofs (ZKP) and Secure Multiparty Computation (MPC)

# Zero Knowledge Proofs (ZKP) and Secure Multiparty Computation (MPC)

# Proof of Sudoku Solvability



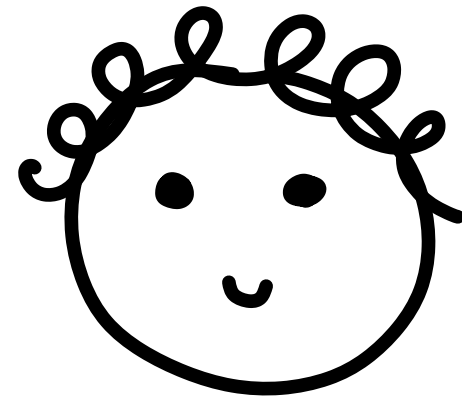
Alice

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability



Alice

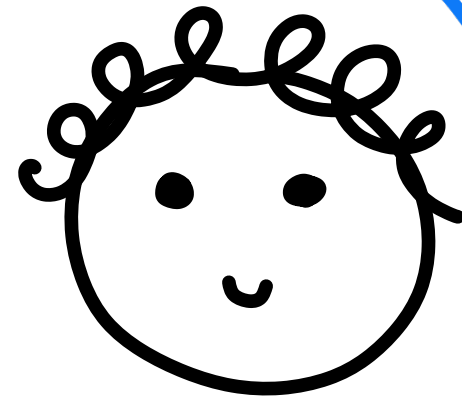
1	7	5	2	9	4	8	3	6
6	2	3	1	8	7	9	4	5
8	9	4	5	6	3	2	7	1
5	1	9	7	3	2	4	6	8
3	4	7	8	5	6	1	2	9
2	8	6	9	4	1	7	5	3
9	3	8	4	2	5	6	1	7
4	6	1	3	7	9	5	8	2
7	5	2	6	1	8	3	9	4

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability

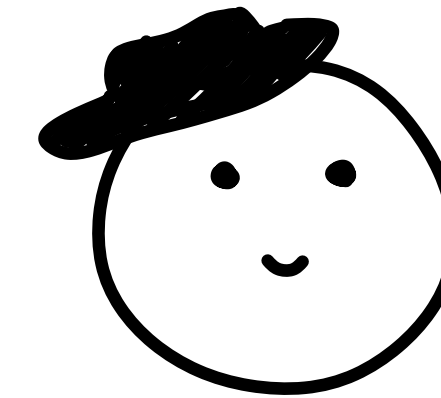
Do I just...  
give the answer away?  
No! ZKP!



Alice

Can you solve  
this sudoku?

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	



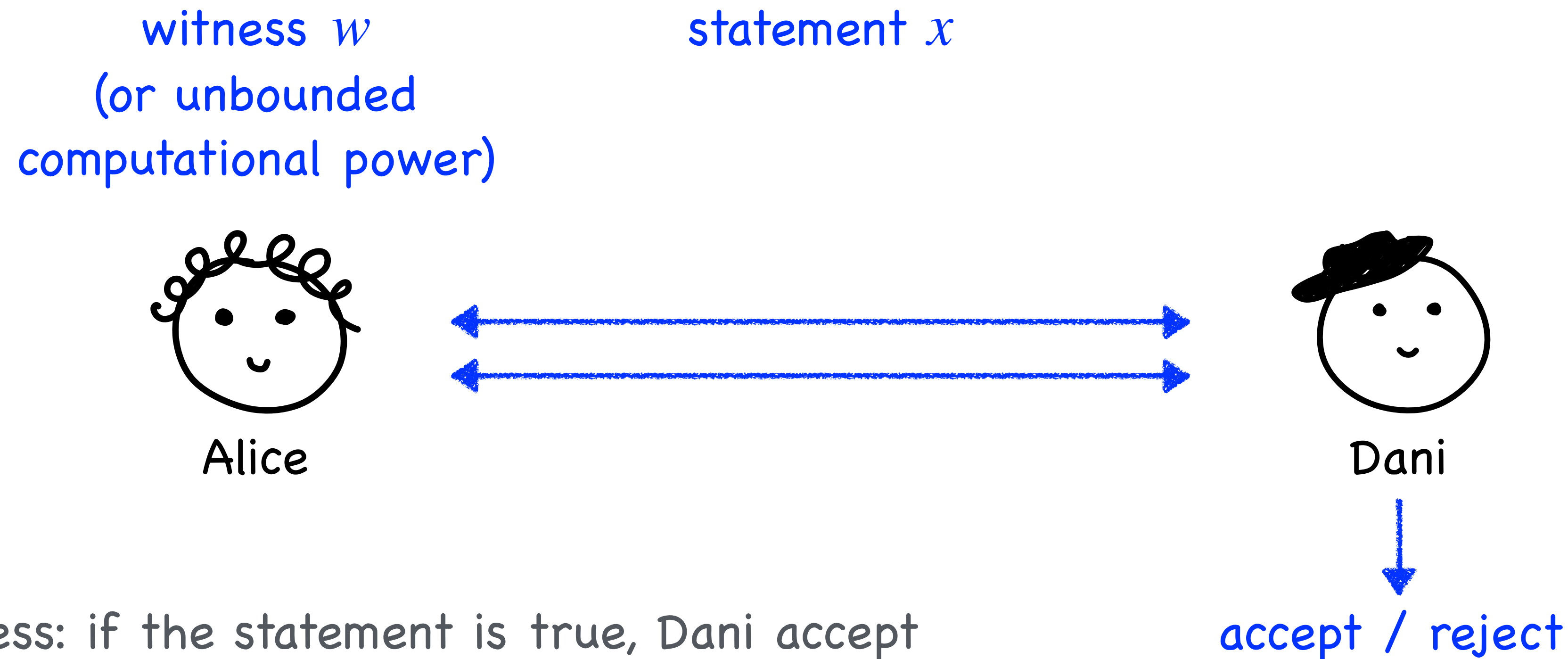
Dani

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

No - it's unsolvable,  
you're messing with me!

# Definitions of a Zero Knowledge Proof



- Completeness: if the statement is true, Dani accept
- Soundness: if the statement is false, Dani rejects, even if Alice cheats
- Zero Knowledge: Dani learns nothing other than the fact that the statement is true

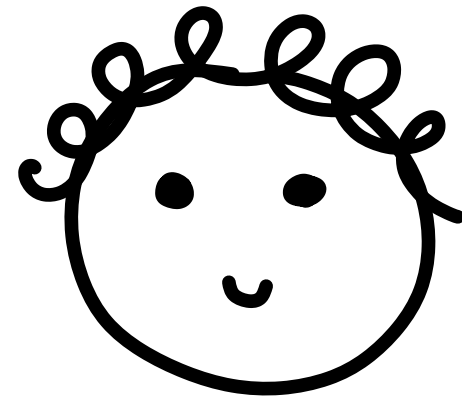
We formalize this via the existence of a simulator:

$$\forall \text{ PPT } D^*, \exists \text{ PPT } S \text{ s.t. } \text{VIEW}(D^*) \equiv S(x)$$

# Proof of Sudoku Solvability

Goals:

- completeness
- soundness
- ZK



Alice

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	

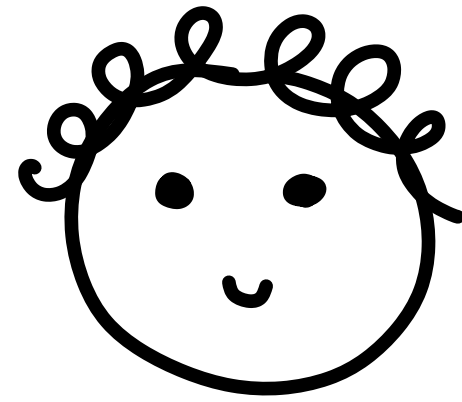
Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability

Goals:

- completeness
- soundness
- ZK



Alice

1	7	5	2	9	4	8	3	6
6	2	3	1	8	7	9	4	5
8	9	4	5	6	3	2	7	1
5	1	9	7	3	2	4	6	8
3	4	7	8	5	6	1	2	9
2	8	6	9	4	1	7	5	3
9	3	8	4	2	5	6	1	7
4	6	1	3	7	9	5	8	2
7	5	2	6	1	8	3	9	4

random permutation:

1 → 2

2 → 6

3 → 5

4 → 9

5 → 1

6 → 7

7 → 8

8 → 4

9 → 3

2	8	1	6	3	9	4	5	7
7	6	5	2	4	8	3	9	1
4	3	9	1	7	5	6	8	2
1	2	3	8	5	6	9	7	4
5	9	8	4	1	7	2	6	3
6	4	7	3	9	2	8	1	6
3	5	4	9	6	1	7	2	8
9	7	2	5	8	3	1	4	6
8	1	6	7	2	4	5	3	9

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

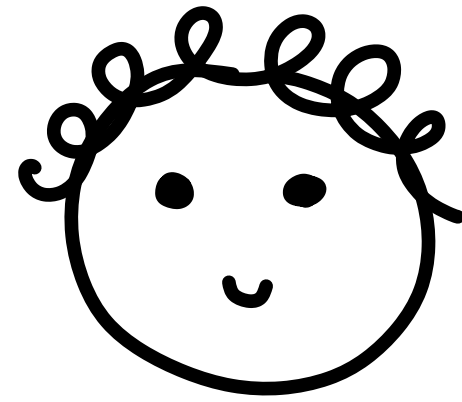
if the original sudoku was "valid", so is the new one!



# Proof of Sudoku Solvability

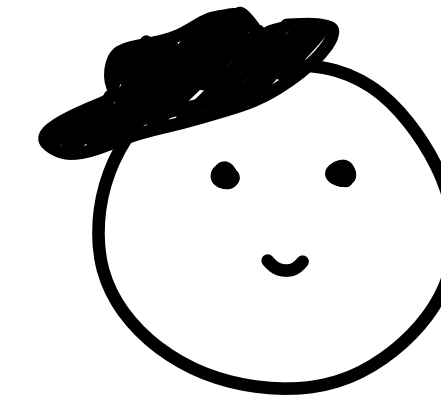
Goals:

- ✓ completeness
- ✓ soundness
- ZK



Alice

2	8	1	6	3	9	4	5	7
7	6	5	2	4	8	3	9	1
4	3	9	1	7	5	6	8	2
1	2	3	8	5	6	9	7	4
5	9	8	4	1	7	2	6	3
6	4	7	3	9	2	8	1	6
3	5	4	9	6	1	7	2	8
9	7	2	5	8	3	1	4	6
8	1	6	7	2	4	5	3	9



Dani

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- "initial conditions": a permutation on 1, ..., 9 maps the original black numbers to the ones here

Q: what does Dani check?

If Alice could fool Dani, she could solve the sudoku!

# Proof of Sudoku Solvability

Goals:

✓ completeness

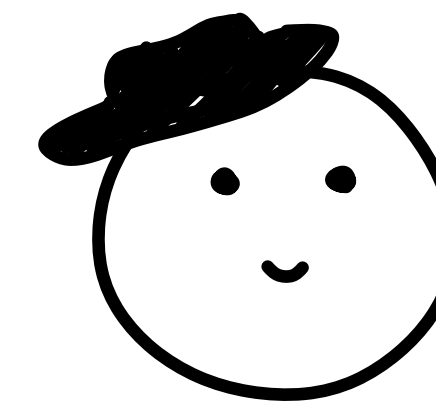
✓ soundness

✗ ZK



Alice

2	8	1	6	3	9	4	5	7
7	6	5	2	4	8	3	9	1
4	3	9	1	7	5	6	8	2
1	2	3	8	5	6	9	7	4
5	9	8	4	1	7	2	6	3
6	4	7	3	9	2	8	1	6
3	5	4	9	6	1	7	2	8
9	7	2	5	8	3	1	4	6
8	1	6	7	2	4	5	3	9



Dani

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- "initial conditions": a permutation on 1, ..., 9 maps the original black numbers to the ones here

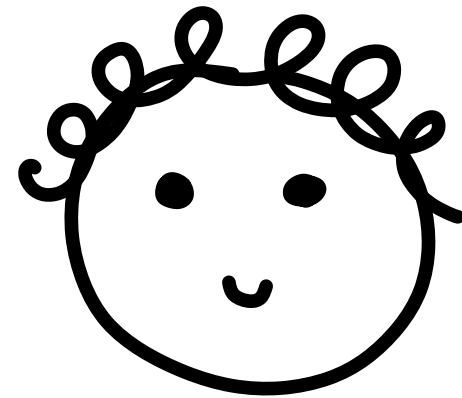
Q: do we get ZK?

A: no! If there existed a simulator that could emulate Dani's view, it could also solve the sudoku.

# Proof of Sudoku Solvability

Goals:

- ✓ completeness
- ✗ soundness
- ✓ ZK



Alice

1	2	3	8	5	6	9	7	4

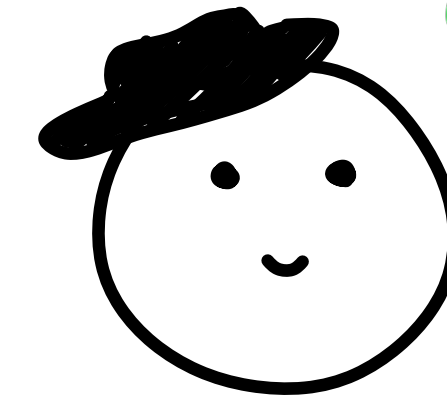
Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- initial conditions

Q: what does Dani check?

Q: what would the simulator do?

Q: do we get soundness?



Dani

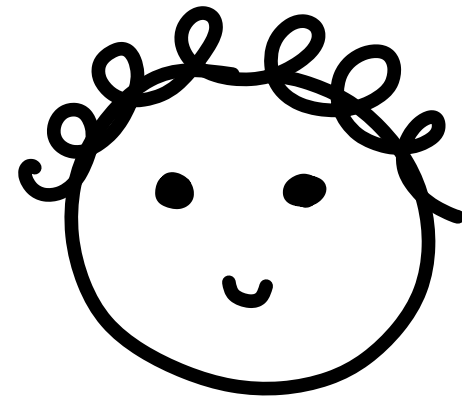
I didn't learn anything!

... but maybe the stuff under the remaining post-its is bogus.

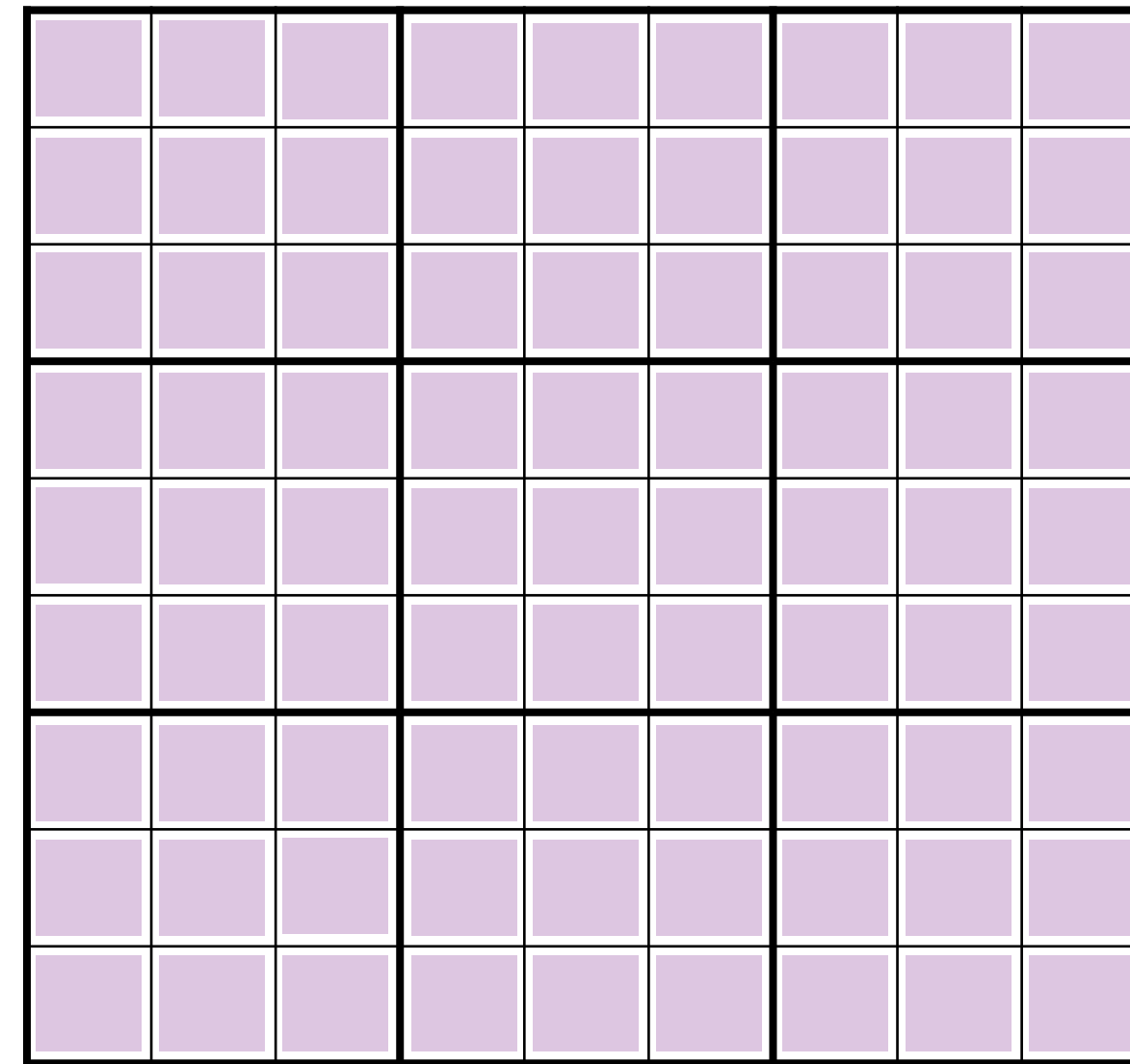
# Proof of Sudoku Solvability

Goals:

- ✓ completeness
- ✓ soundness
- ✓ ZK

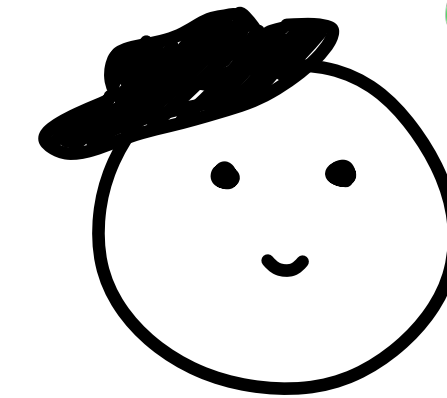


Alice



Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- initial conditions



Dani

I didn't learn anything!

... but if Alice cheated, she might get away with it with prob  $\leq 27/28$ .

Open constraint i!

Q: what would the simulator do?

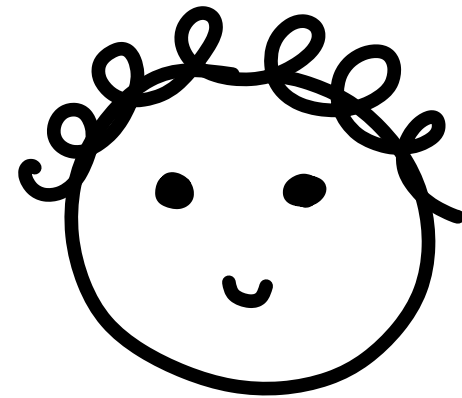
Q: how likely is Alice to get away with it?

Solution: repeat k times, s.t  $(27/28)^k$  is small enough.

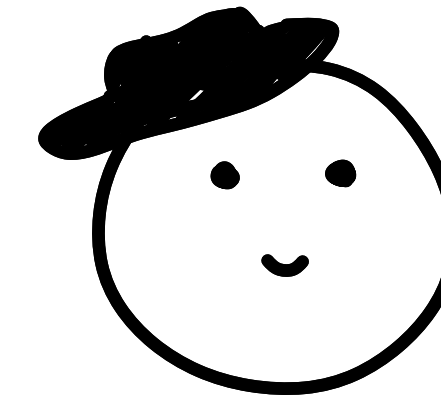
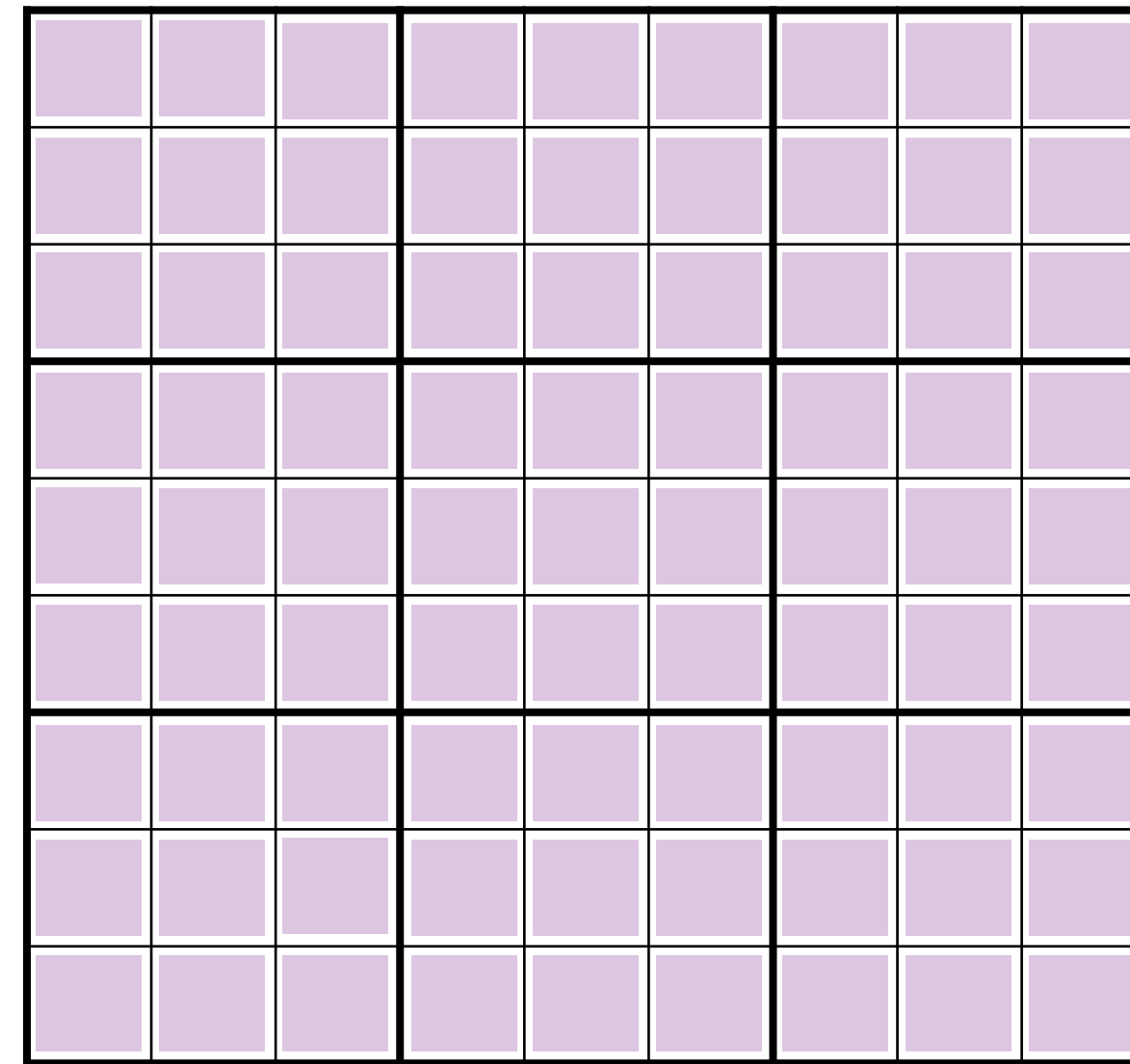
# Proof of Sudoku Solvability... online

Goals:

- completeness
- soundness
- ZK



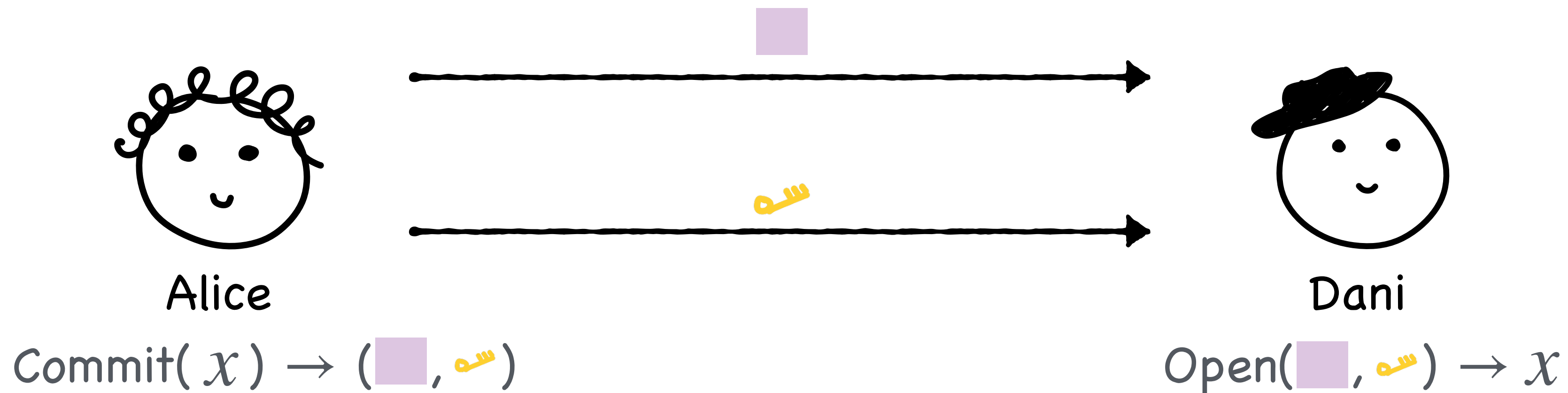
Alice



Dani

Open constraint i!

# Tool: Commitments



Properties:

- Hiding: ■ reveals nothing about what's inside, without 🔑
- Binding: ■ can only be opened to one thing

Each property can be...

- Perfect (unbreakable even with unlimited resources), or
- Computational (reliant on the hardness of some problem)

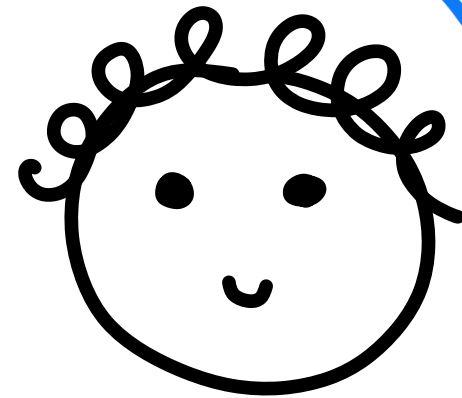
Q: how might we build this thing?



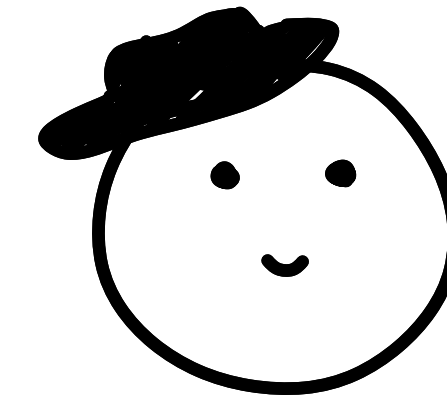
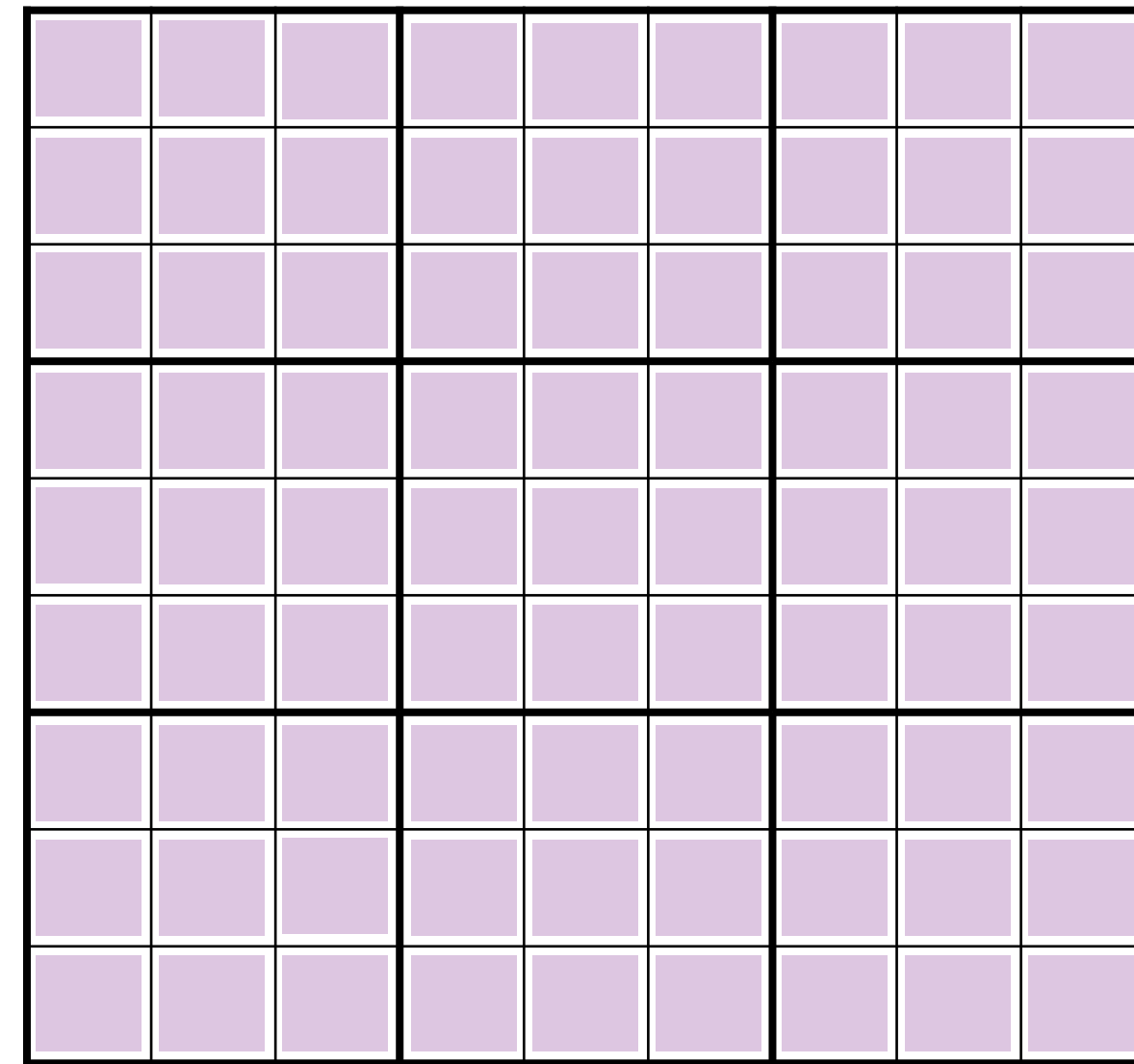
# Proof of Sudoku Solvability... online

Goals:

- ✓ completeness
- ✓ soundness (from binding)
- ✓ ZK (from hiding)

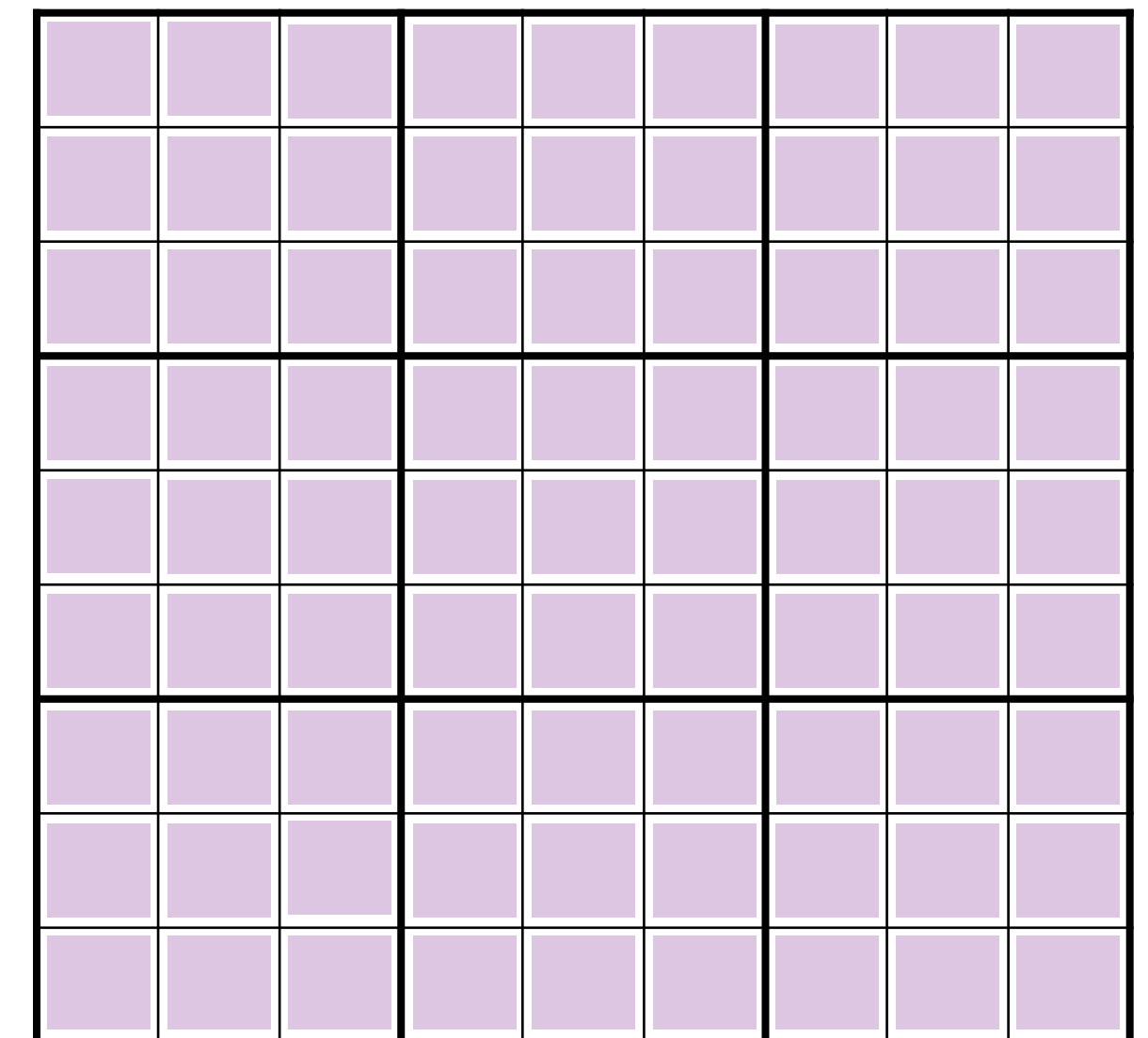


Alice



Dani

Open(■, 🗝️) →  $x$



Open constraint  $i$ !

🗝️ for constraint  $i$

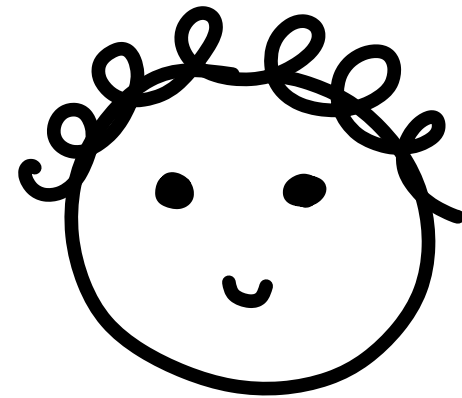
Commitments:

- Binding: ■ can only be opened to one thing
- Hiding: ■ reveals nothing about what's inside, without 🗝️

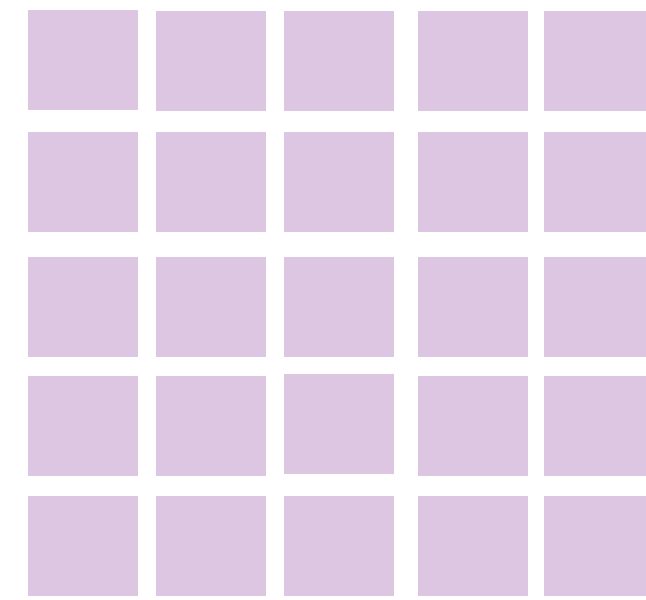
# Framework for a ZKP

Goals:

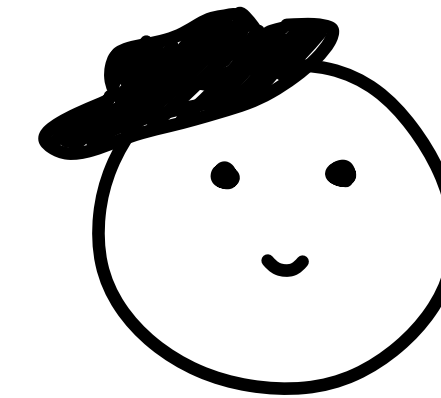
- completeness
- soundness
- ZK



Alice



Open constraint i!



Dani

n constraints on the committed stuff:

- one reveals nothing
- if all of them hold, the statement is true

repeat k times, s.t  
 $(n-1/n)^k$  is small enough.



# Back to Reality!

In practice, we want to prove things like...

- Identity, or possession of credentials
- Correct computation
- Generally: knowledge/existence of  $w$  s.t.  $R(x, w) = 1$

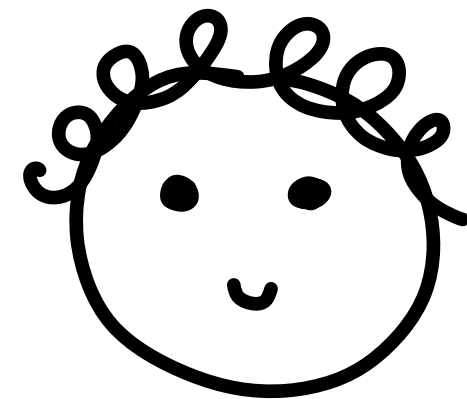
How do we do this?

- Sudoku is NP-complete – we can transform any problem into a sudoku!

inefficient

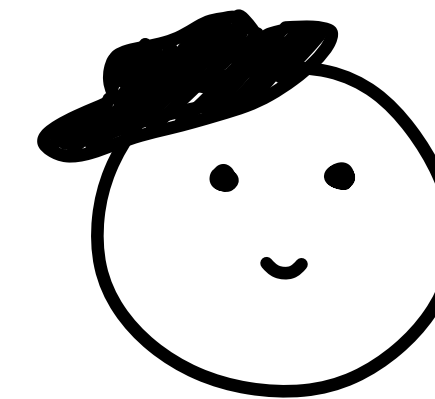
# Zero Knowledge Proofs (ZKP) and Secure Multiparty Computation (MPC)

# Secure Multi-Party Computation (MPC): A First Example



Alice

$x_A \in [1, \dots, 10]$



Dani

$x_D \in [1, \dots, 10]$

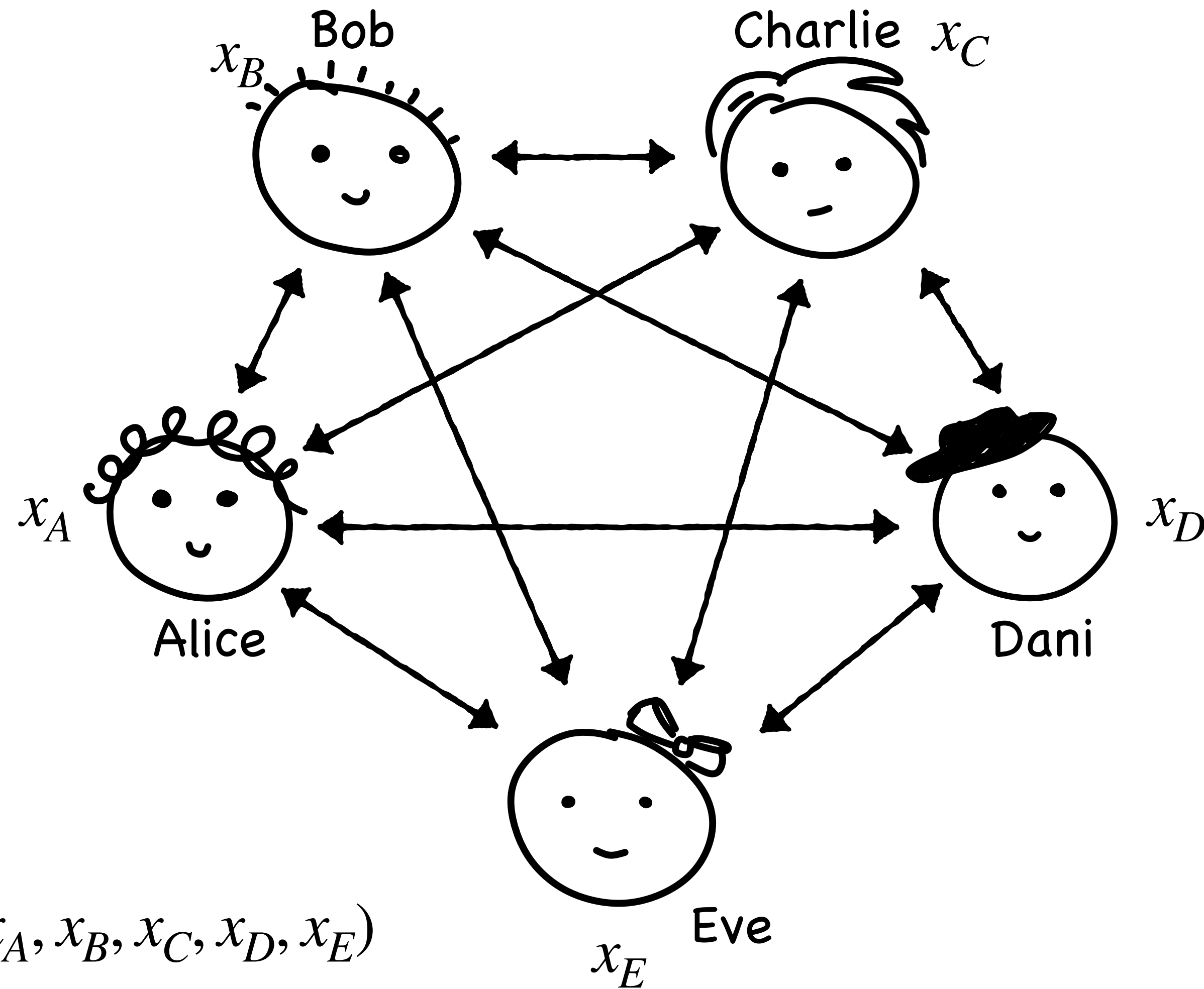
We want privacy:  
if  $x_A \neq x_D$ , that is all  
they learn

$$f(x_A, x_B) = \begin{cases} 1 & \text{if } x_A = x_B \\ 0 & \text{otherwise} \end{cases}$$

we could ask someone to help us...  
but there is no-one we both trust!

Q: can you think of  
an easy way to do  
this?

# Secure Multi-Party Computation (MPC)

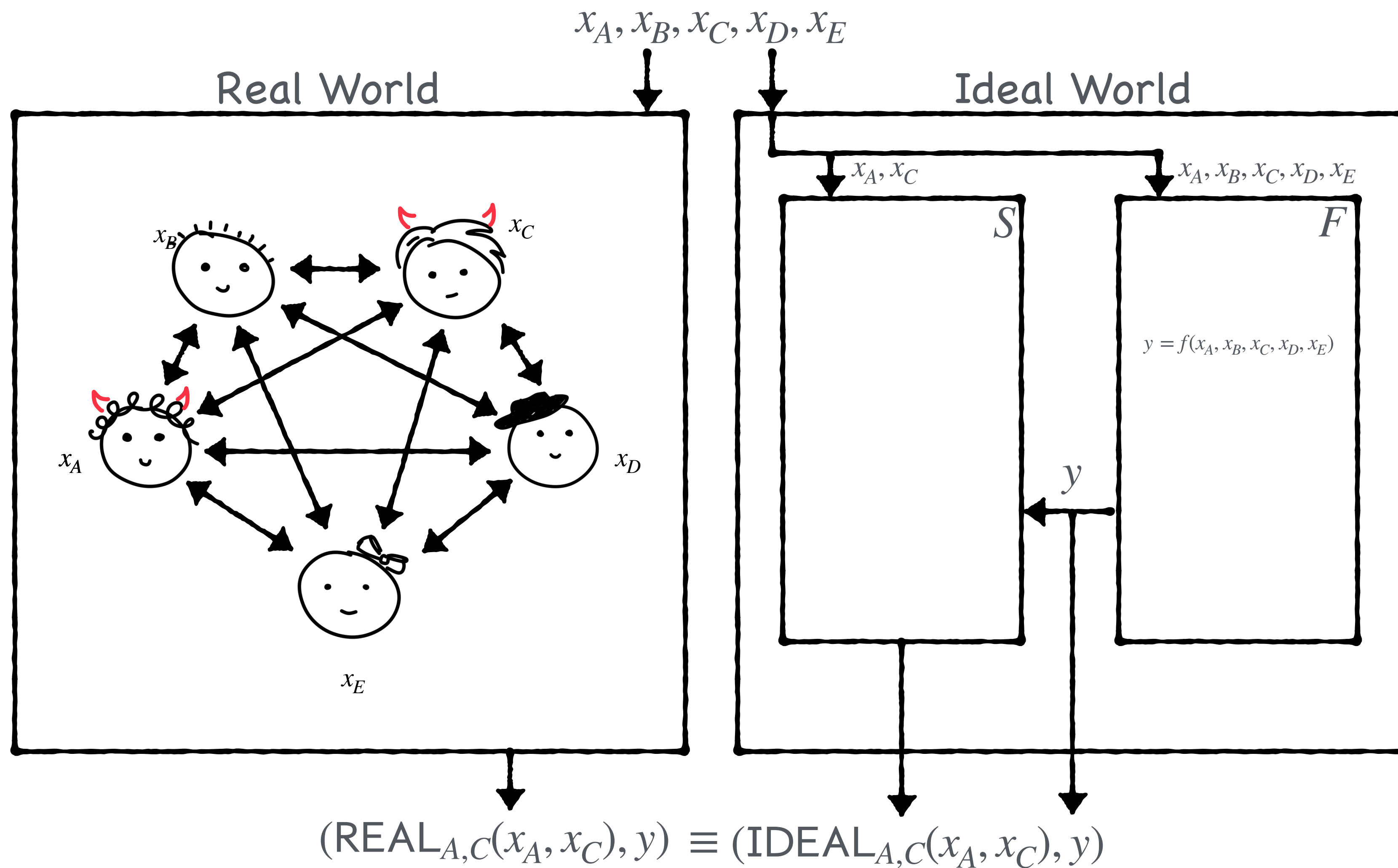


$$y = f(x_A, x_B, x_C, x_D, x_E)$$

We want:

- correctness
- $t$ -privacy: the combined views of  $t$  or fewer participants reveal nothing other than  $y$

# Secure Multi-Party Computation (MPC)



We want:

- correctness
- $t$ -privacy: the combined views of  $t$  or fewer participants reveal nothing other than  $y$

Zero Knowledge Proofs (ZKP)

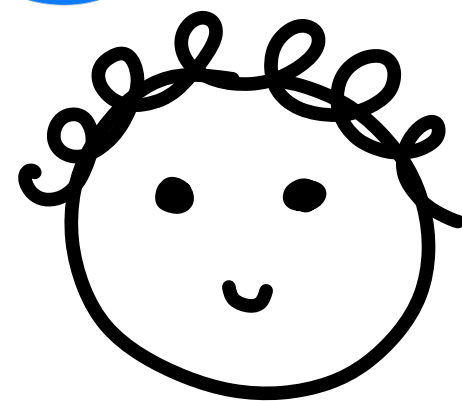


Secure Multiparty Computation (MPC)

# ZKP from MPC: Attempt 1

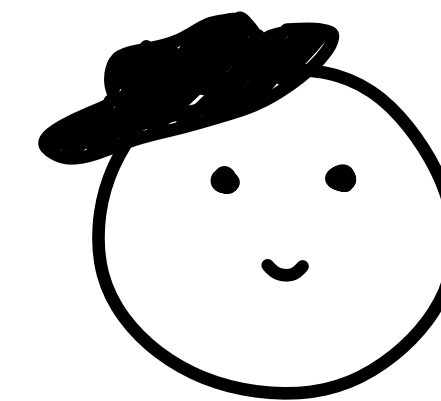
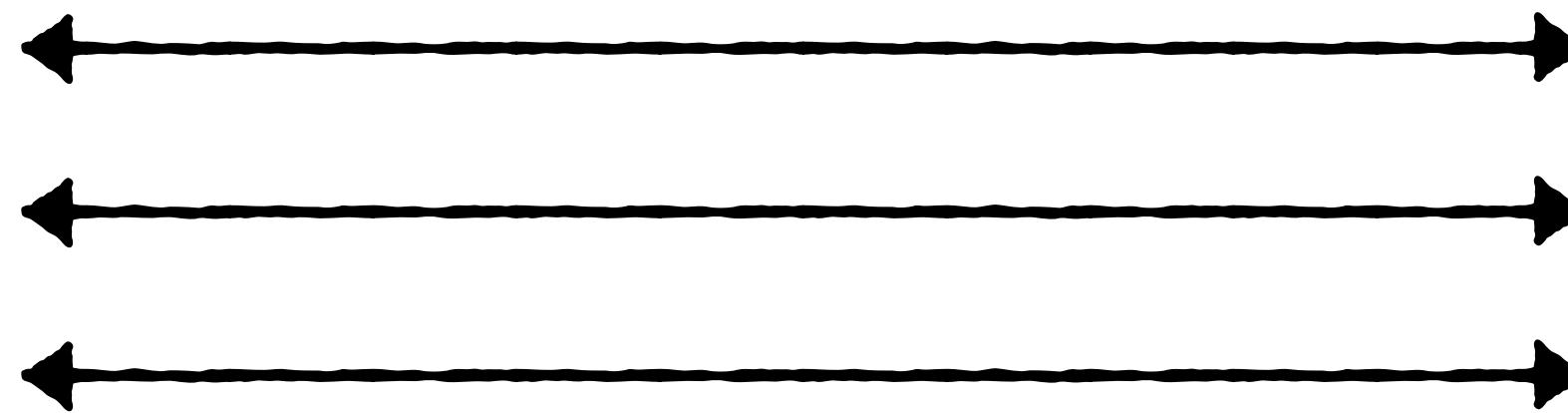
Goals:

- completeness
- soundness
- ZK



$x$

Run MPC for  $f(w, \cdot) = R(x, w)$ !



$\perp$

	Communication Complexity	Tools
Reduce to Sudoku (or something...)	$\text{poly}(k,  R )$	lightweight (commitments)
Run 2PC	$O(k R )$	heavyweight (i.e. "public key" operations)

# MPC from Lightweight Tools

Workarounds:

- More participants ...  
we can get  $t$ -privacy for  $t < \frac{n}{2}$  using only lightweight tools  
e.g.:  $n = 3, t = 1$
- Correlated randomness

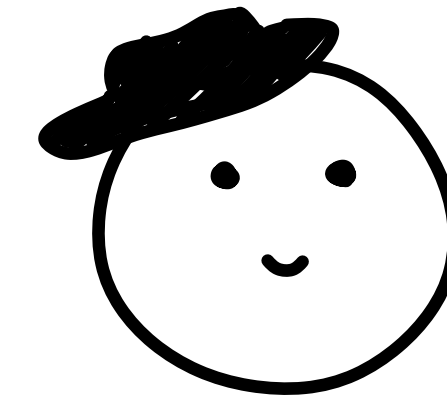


# ZKP from MPC: Attempt 2

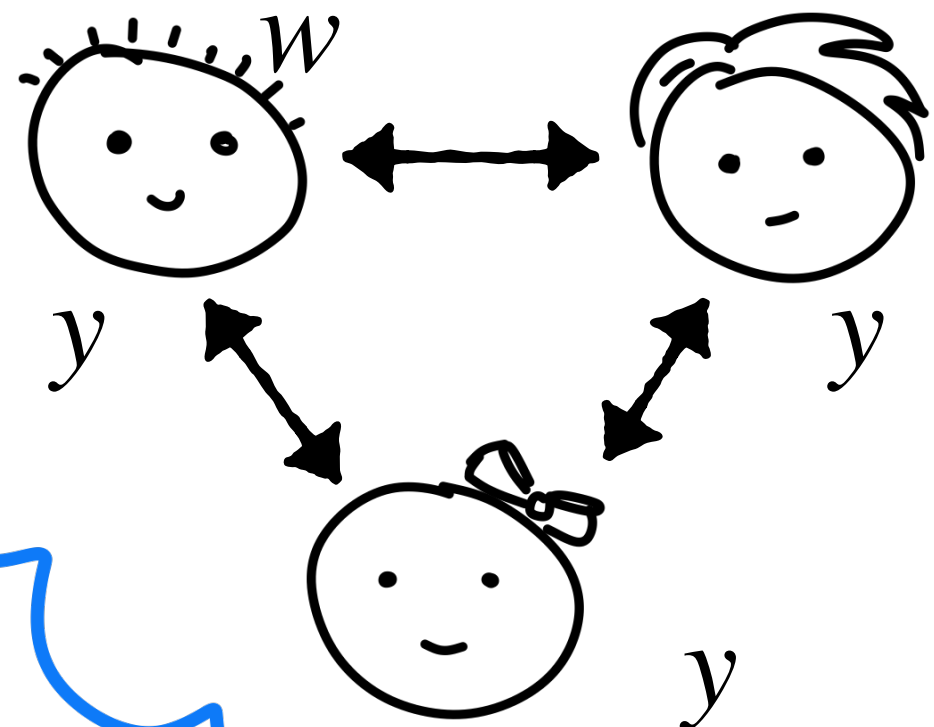
Goals:

- completeness
- soundness
- ZK

$x$



$w$

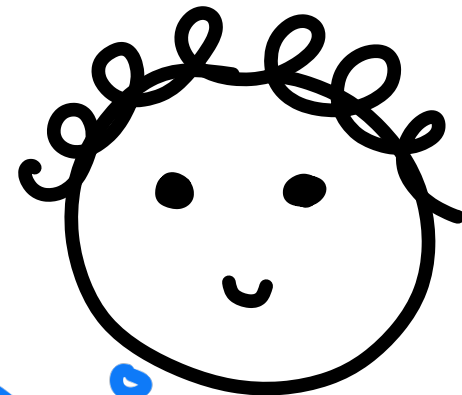


MPC for  $f(w, \cdot, \cdot) = R(x, w)$

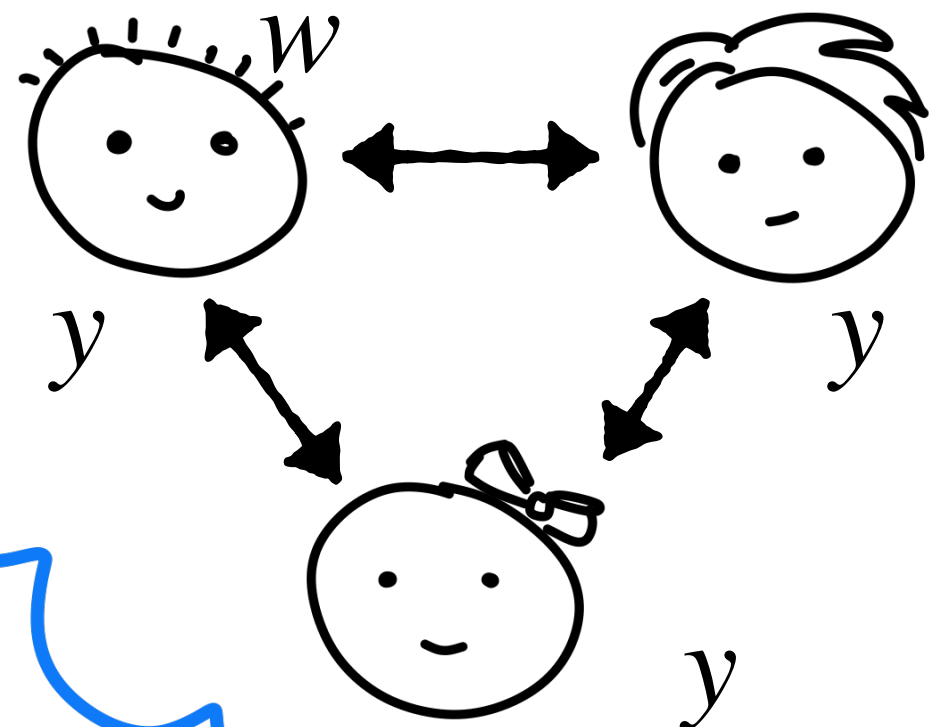
# Framework for a ZKP

Goals:

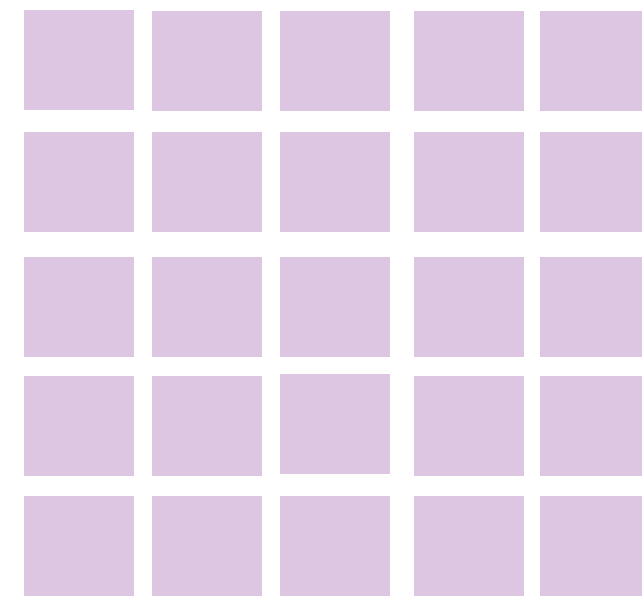
- completeness
- soundness
- ZK



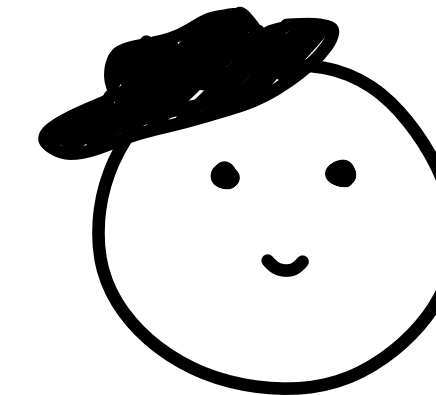
$w$



MPC for  $f(w, \cdot, \cdot) = R(x, w)$



Open constraint  $i$ !



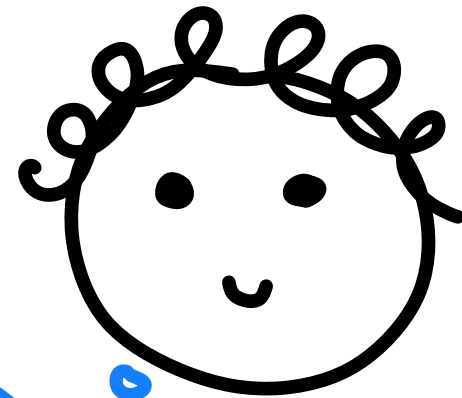
$n$  constraints on the committed stuff:

- one reveals nothing
- if all of them hold, the statement is true

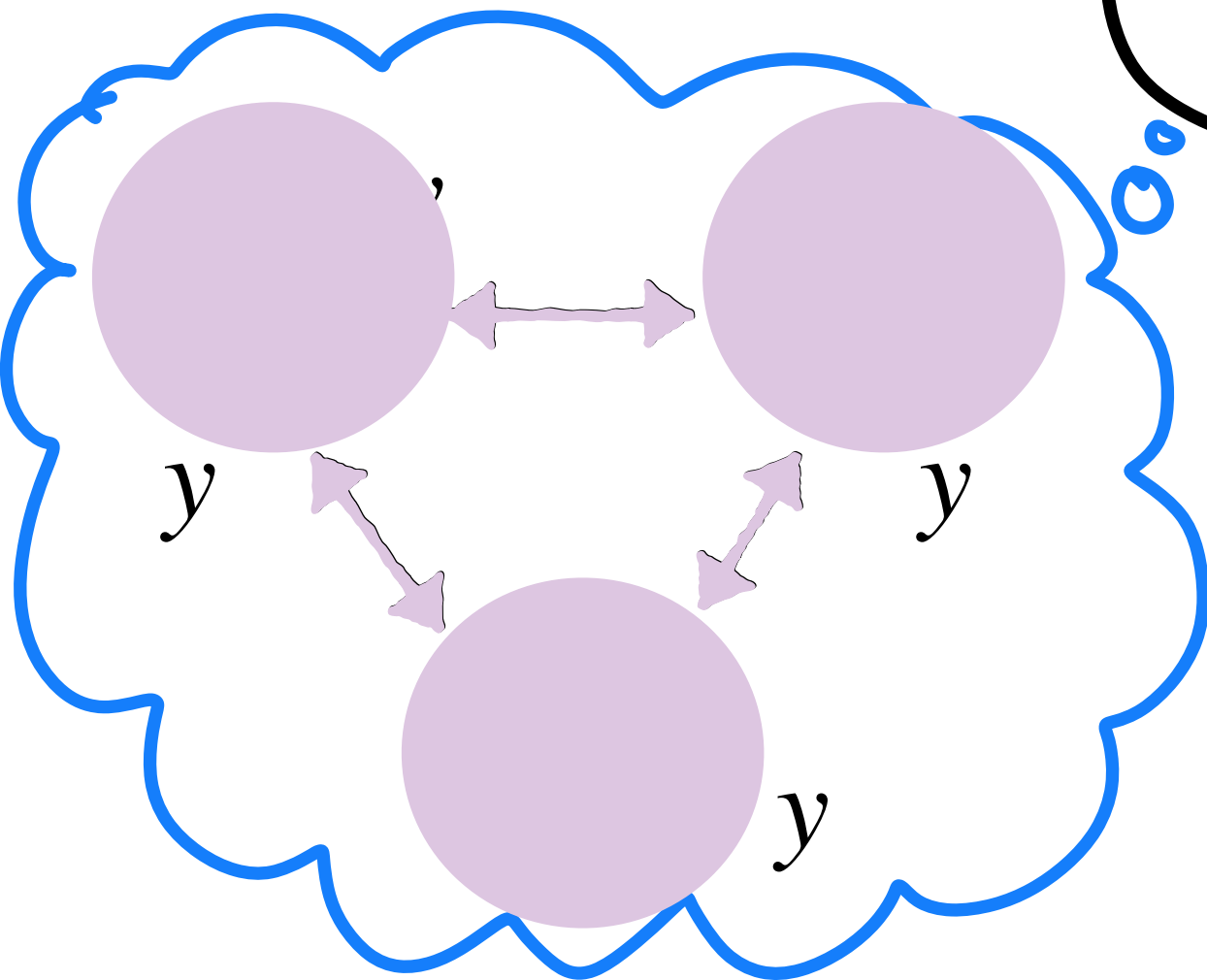
# ZKP from MPC: Attempt 2

Goals:

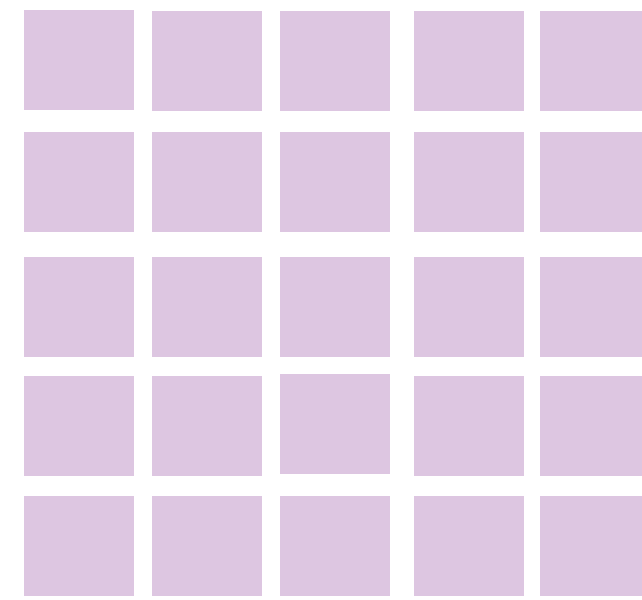
- completeness
- soundness
- ZK



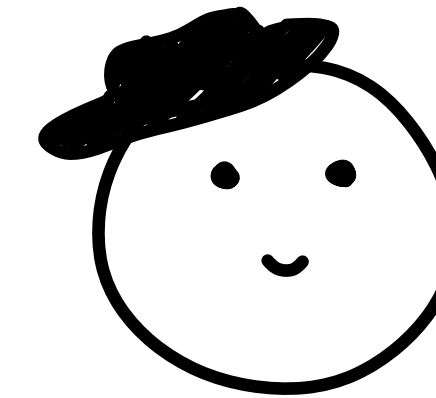
$w$



MPC for  $f(w, \cdot, \cdot) = R(x, w)$



Open constraint  $i$ !



$n$  constraints on the committed stuff:

- one reveals nothing
- if all of them hold, the statement is true

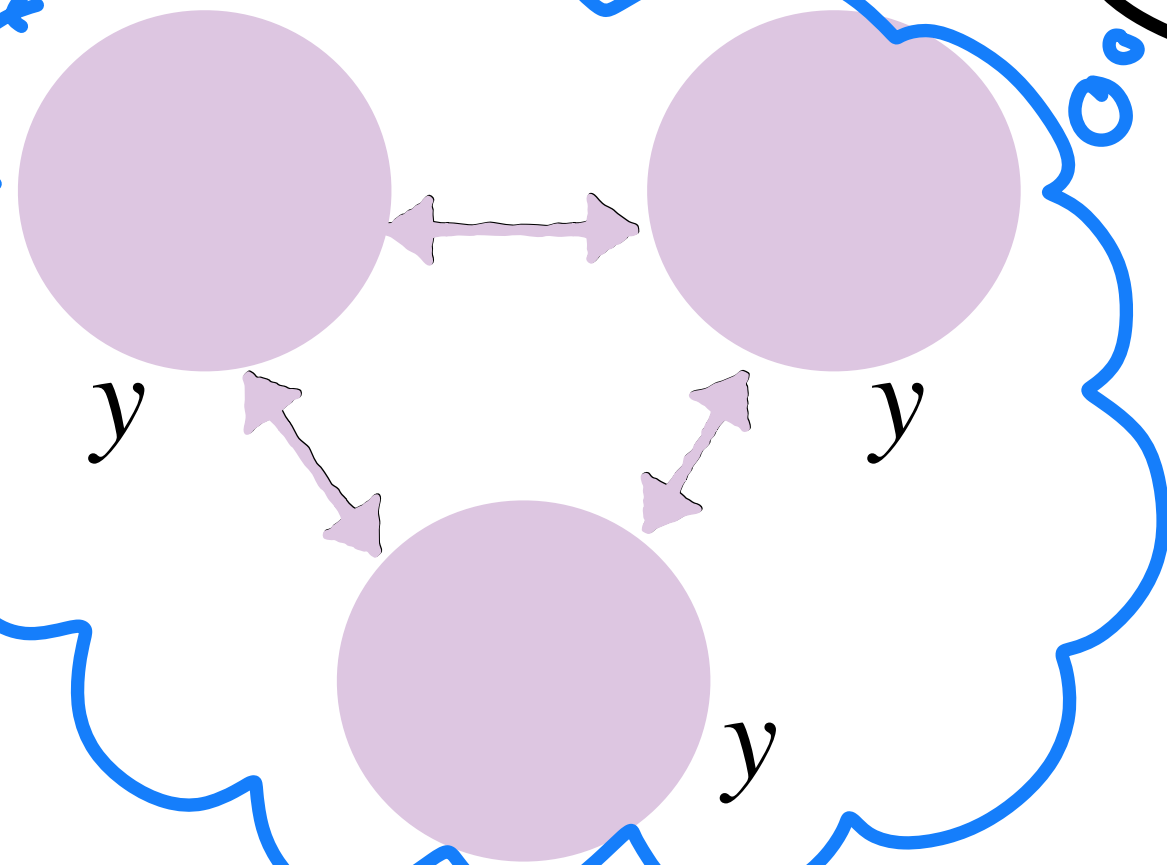
# ZKP from MPC: Attempt 2

Goals:

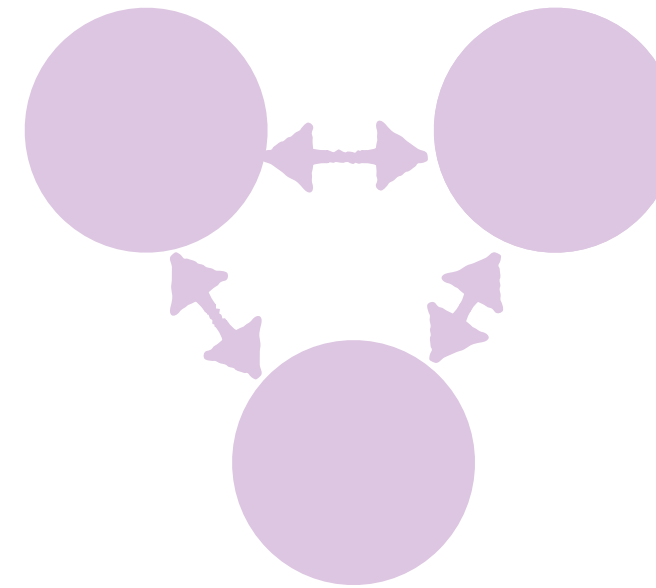
- completeness
- soundness
- ZK



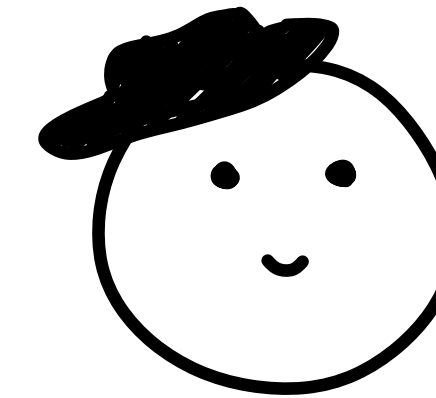
$w$









MPC for  $f(w, \cdot, \cdot) = R(x, w)$



Open view i!



Open(, )  $\rightarrow$  party i's choices  
Open(, )  $\rightarrow$  messages  
Open(, )  $\rightarrow$  messages

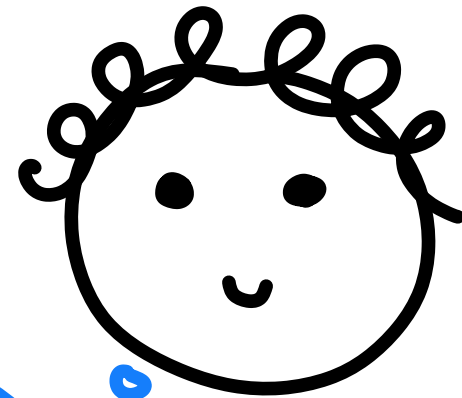
party i did not cheat,  
and output is 1

- n constraints on the committed stuff:
- one reveals nothing
  - if all of them hold, the statement is true

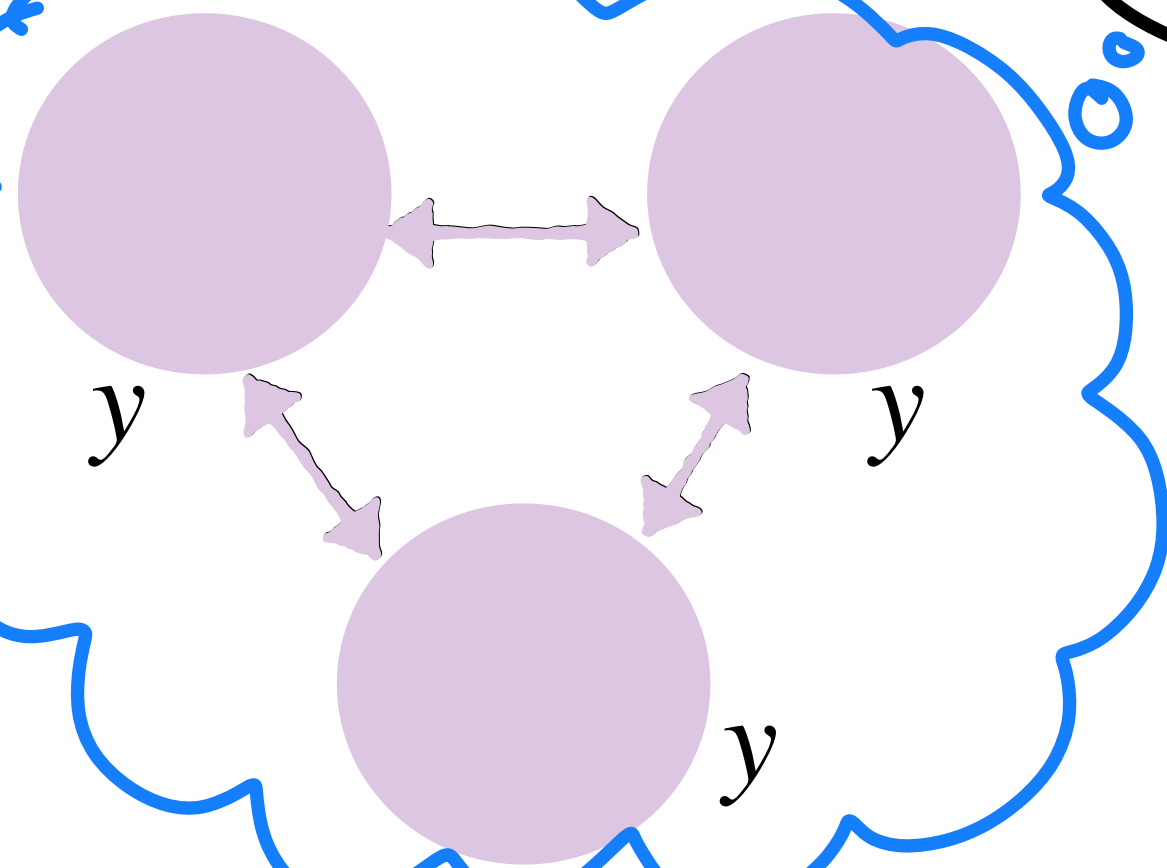
# Completeness... follows from MPC correctness

Goals:

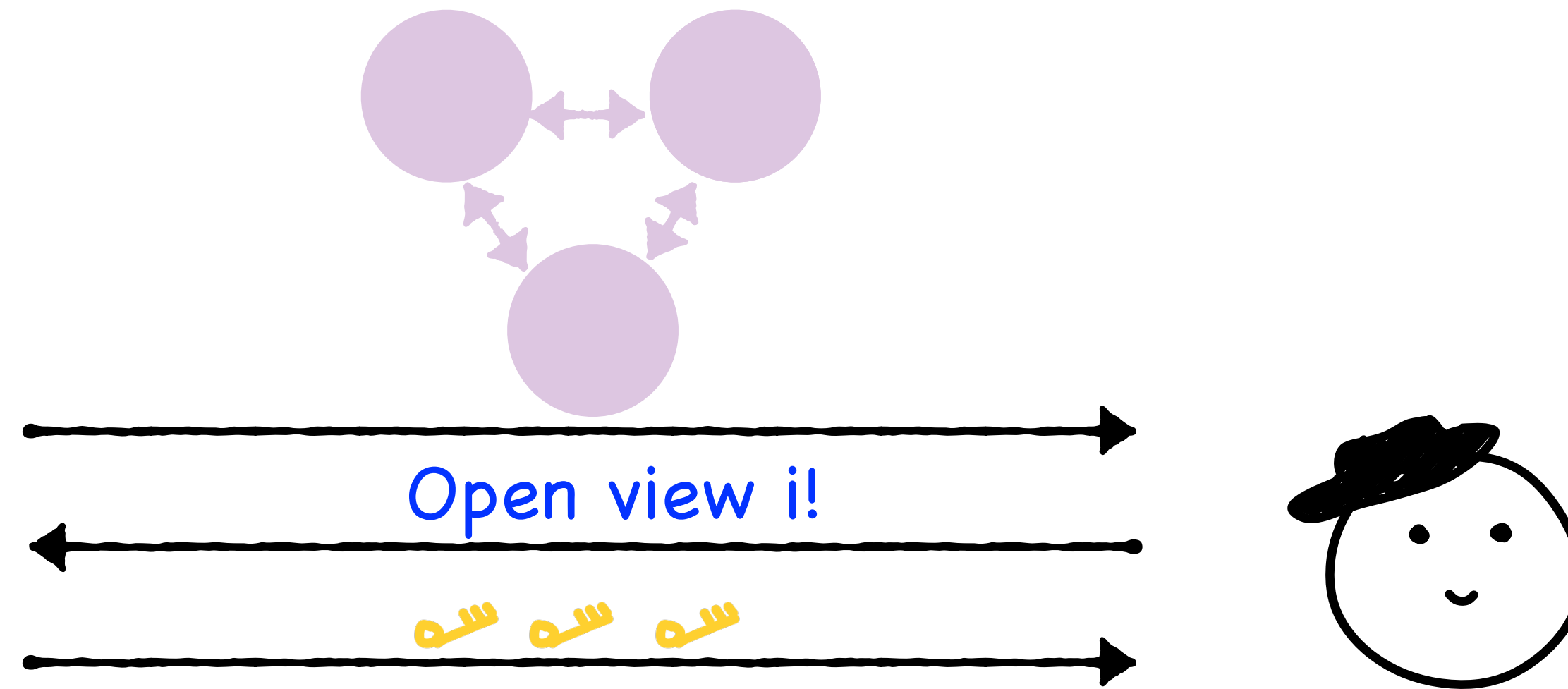
- ✓ completeness
- soundness
- ZK



$w$



MPC for  $f(w, \cdot, \cdot) = R(x, w)$



$\text{Open}(\text{purple circle}, \text{key}) \rightarrow \text{party i's choices}$   
 $\text{Open}(\text{double arrow}, \text{key}) \rightarrow \text{messages}$   
 $\text{Open}(\text{single arrow}, \text{key}) \rightarrow \text{messages}$

party i did not cheat,  
and output is 1

- n constraints on the committed stuff:
- one reveals nothing
  - if all of them hold, the statement is true



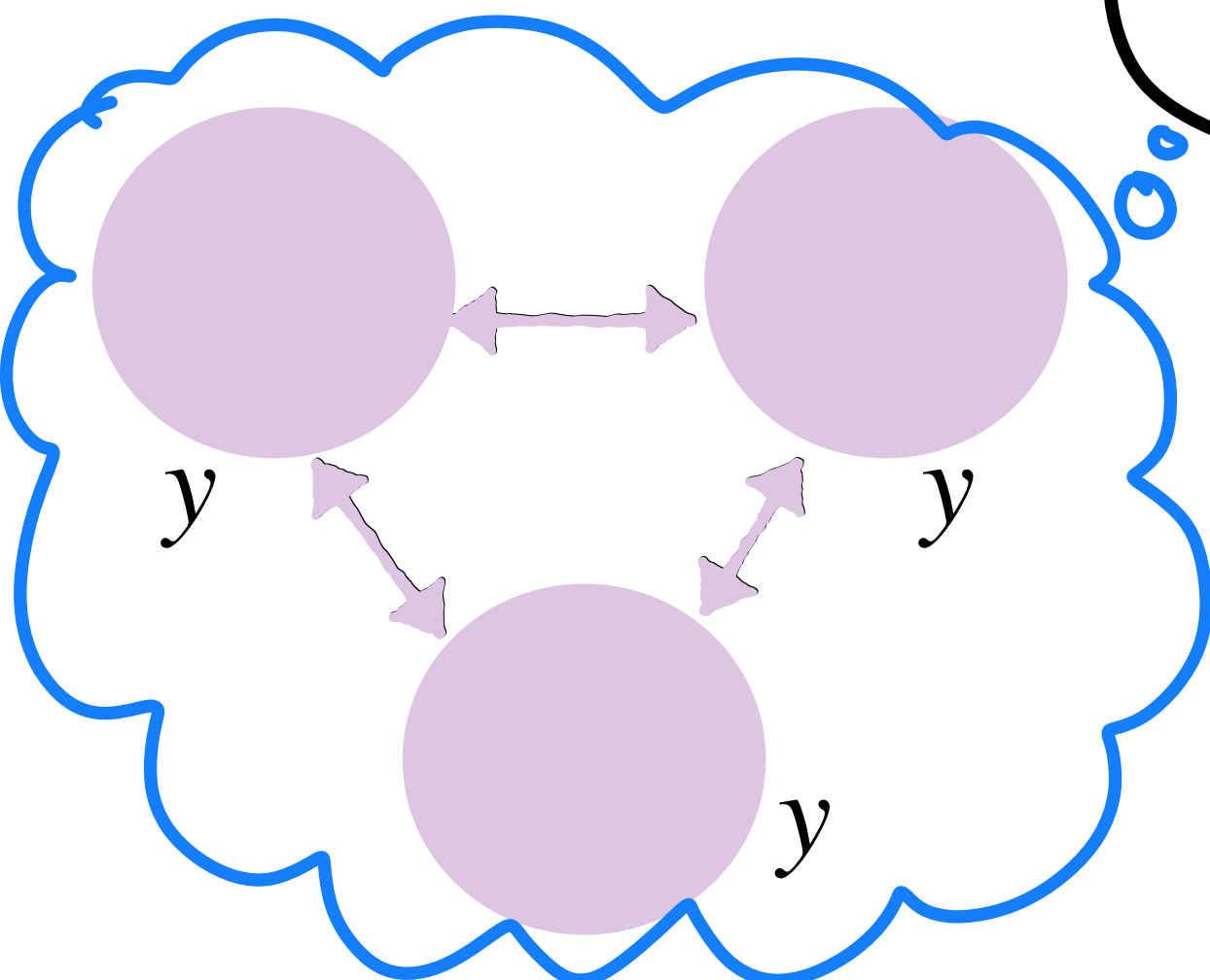
# Soundness...

Goals:

- ✓ completeness
- ✓ soundness
- ZK



$w$

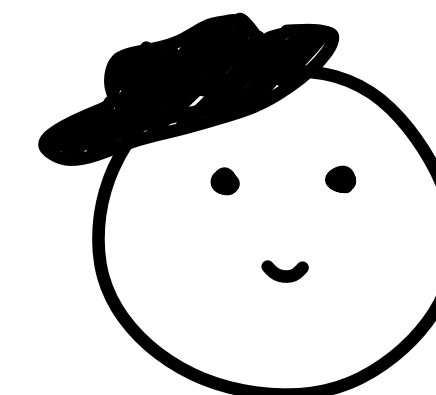


MPC for  $f(w, \cdot, \cdot) = R(x, w)$   
- 1-privacy

✓ perfect correctness

To convince Dani, Alice must cheat on behalf of at least one party.

Open view i!



Open(●, 🔑) → party i's choices  
Open(↔, 🔑) → messages  
Open(↗, 🔑) → messages

party i did not cheat,  
and output is 1

$n$  constraints on the committed stuff:  
- one reveals nothing

✓ if all of them hold, the statement is true

repeat  $k$  times,  
s.t  $(2/3)^k$  is  
small enough.

Q: are we there yet?

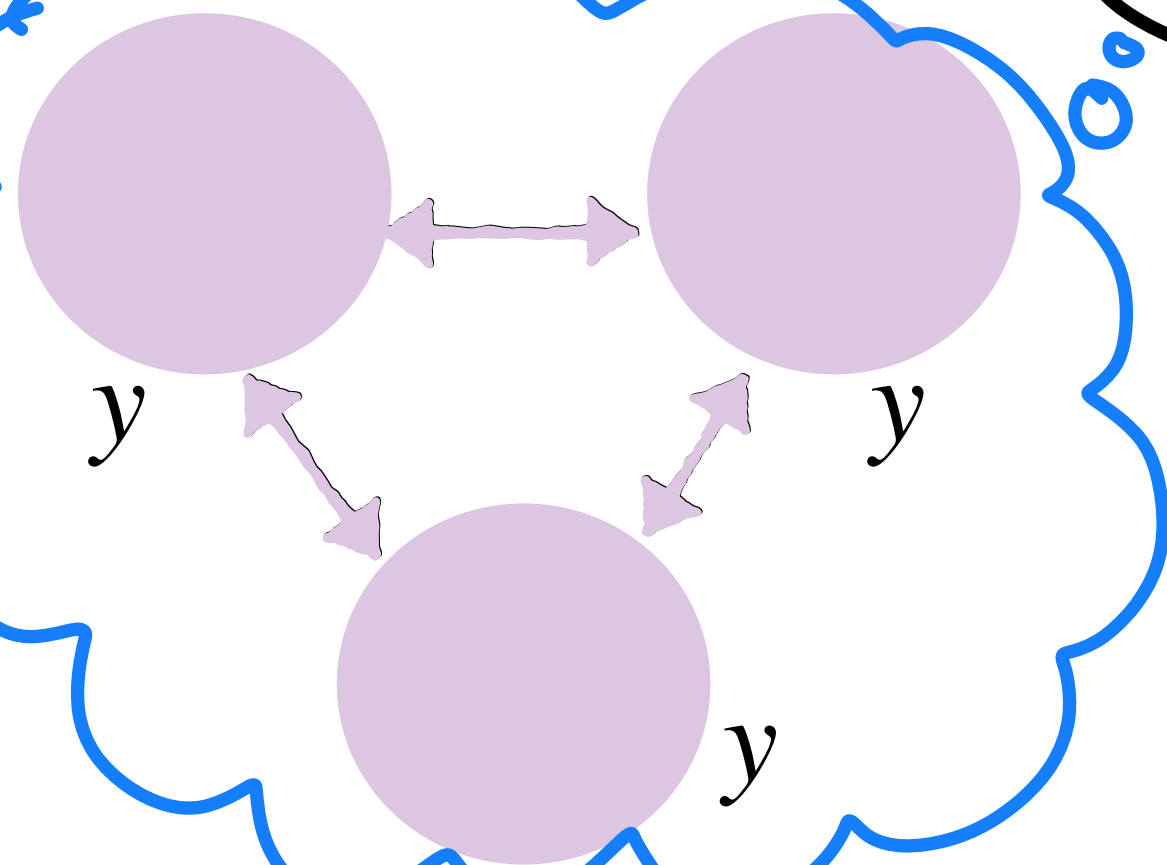
# ZK...

Goals:

- ✓ completeness
- ✓ soundness
- ZK



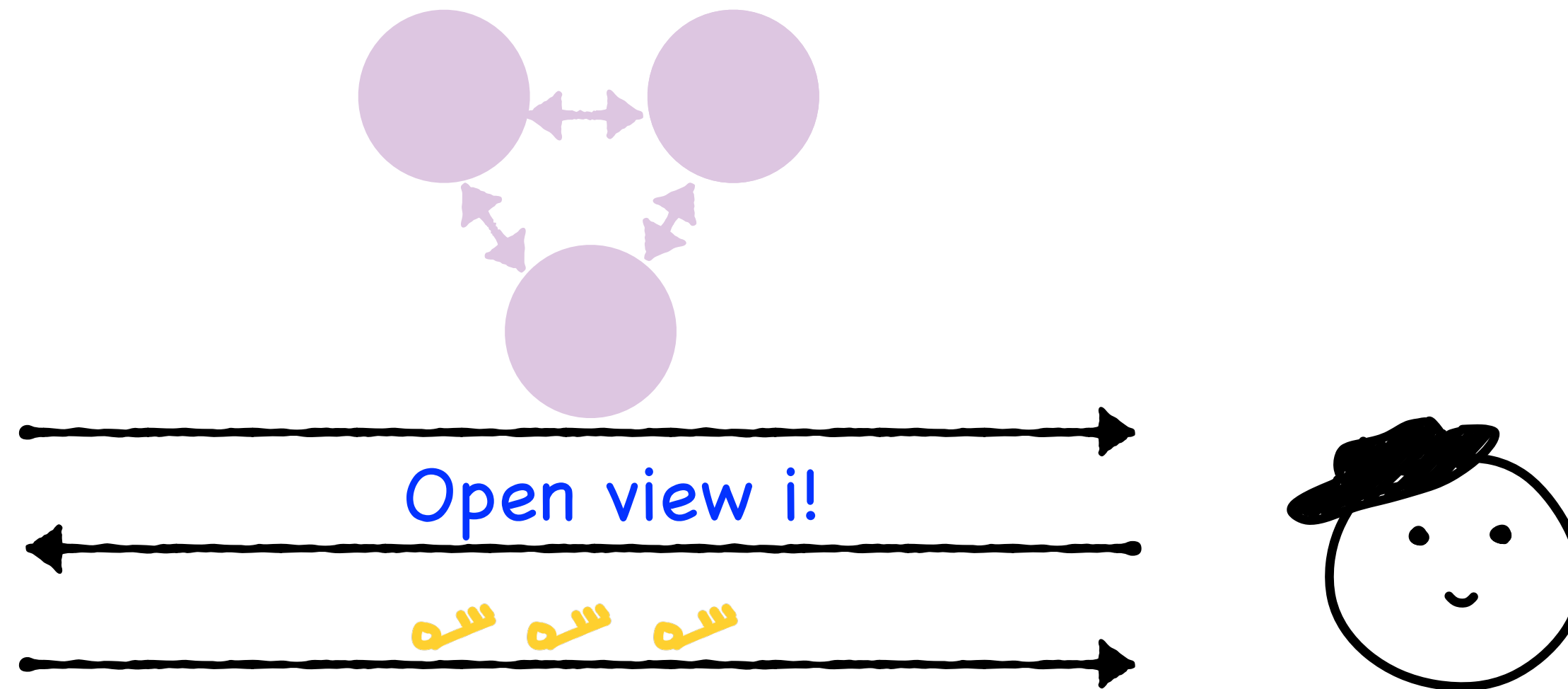
$w$



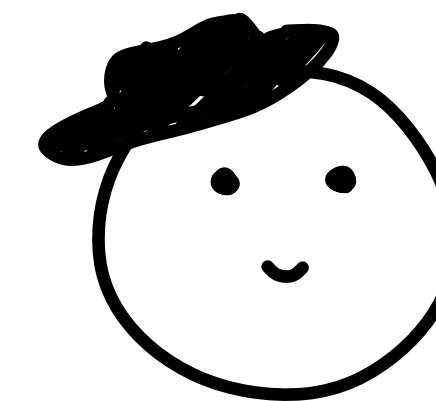
MPC for  $f(w, \cdot, \cdot) = R(x, w)$

- 1-privacy



✓ perfect correctness





Open view i!



Open(, ) → party i's choices

Open(, ) → messages

Open(, ) → messages

party i did not cheat,  
and output is 1

n constraints on the committed stuff:

- one reveals nothing

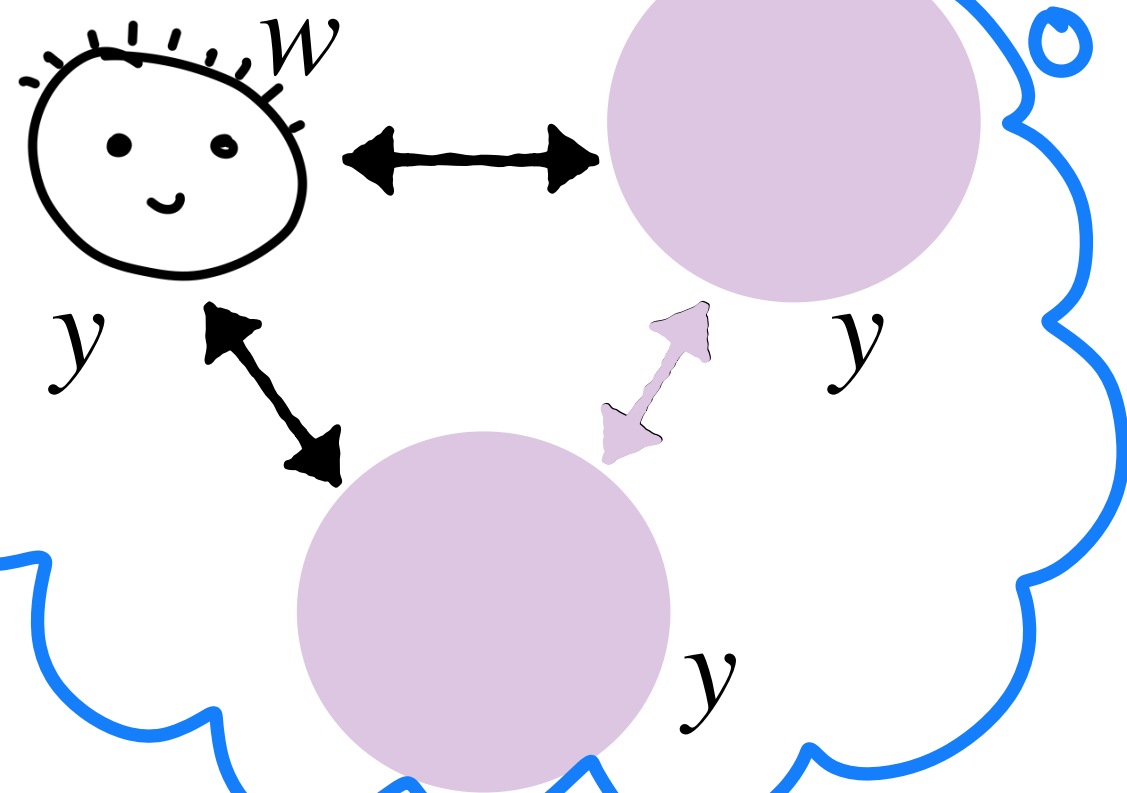
✓ if all of them hold, the statement is true

repeat k times,  
s.t  $(2/3)^k$  is  
small enough.

# ZK...

Goals:

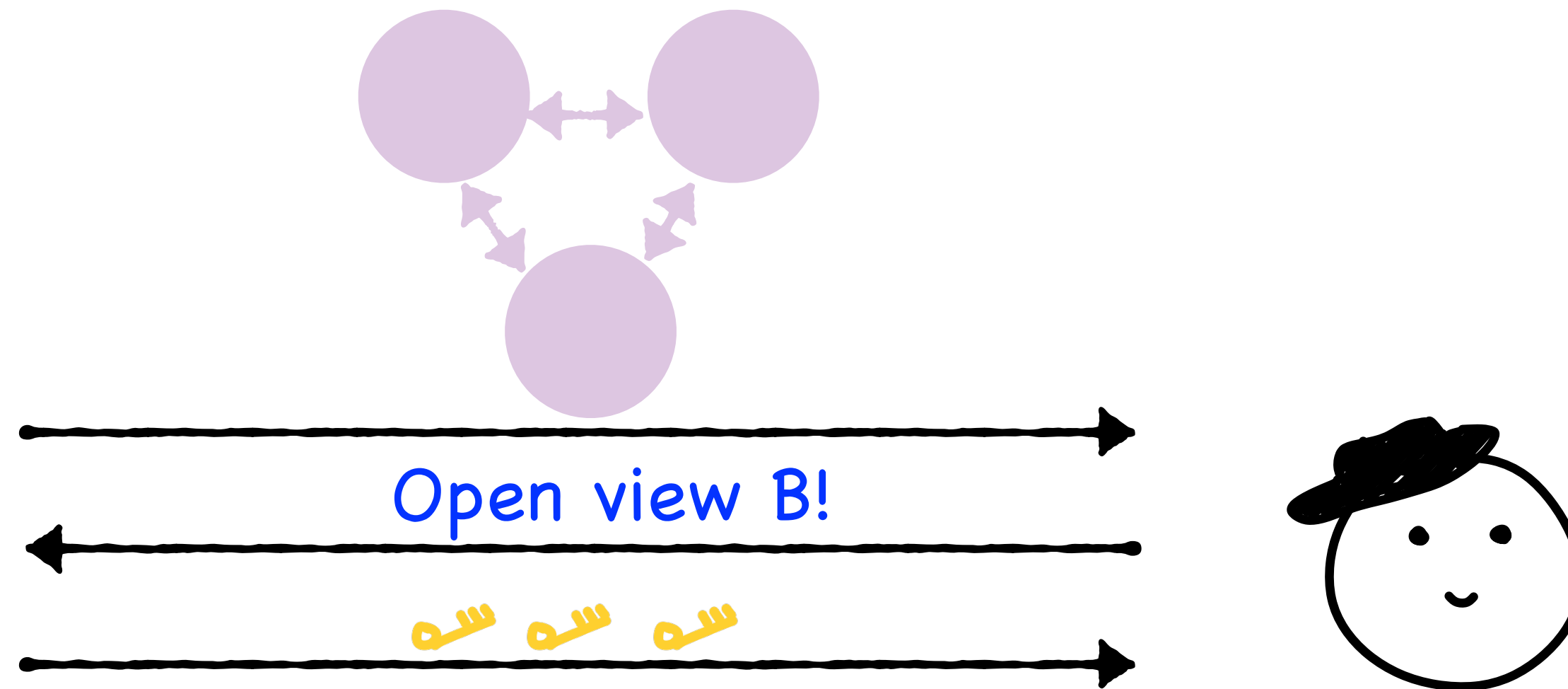
- ✓ completeness
- ✓ soundness
- ✗ ZK



MPC for  $f(w, \cdot, \cdot) = R(x, w)$

- 1-privacy

✓ perfect correctness



Open(●, key) → party i's choices  
Open(↔, key) → messages  
Open(↗, key) → messages

party i did not cheat,  
and output is 1

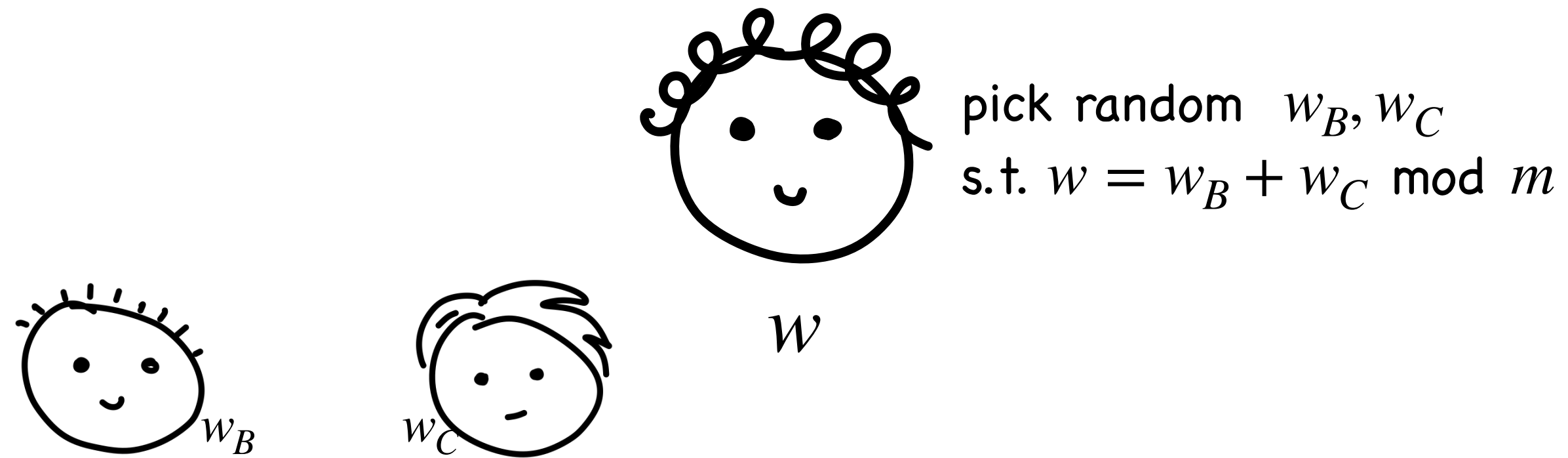
n constraints on the committed stuff:  
- one reveals nothing

✓ if all of them hold, the statement is true

repeat k times,  
s.t  $(2/3)^k$  is  
small enough.



# Tool: Secret Sharing

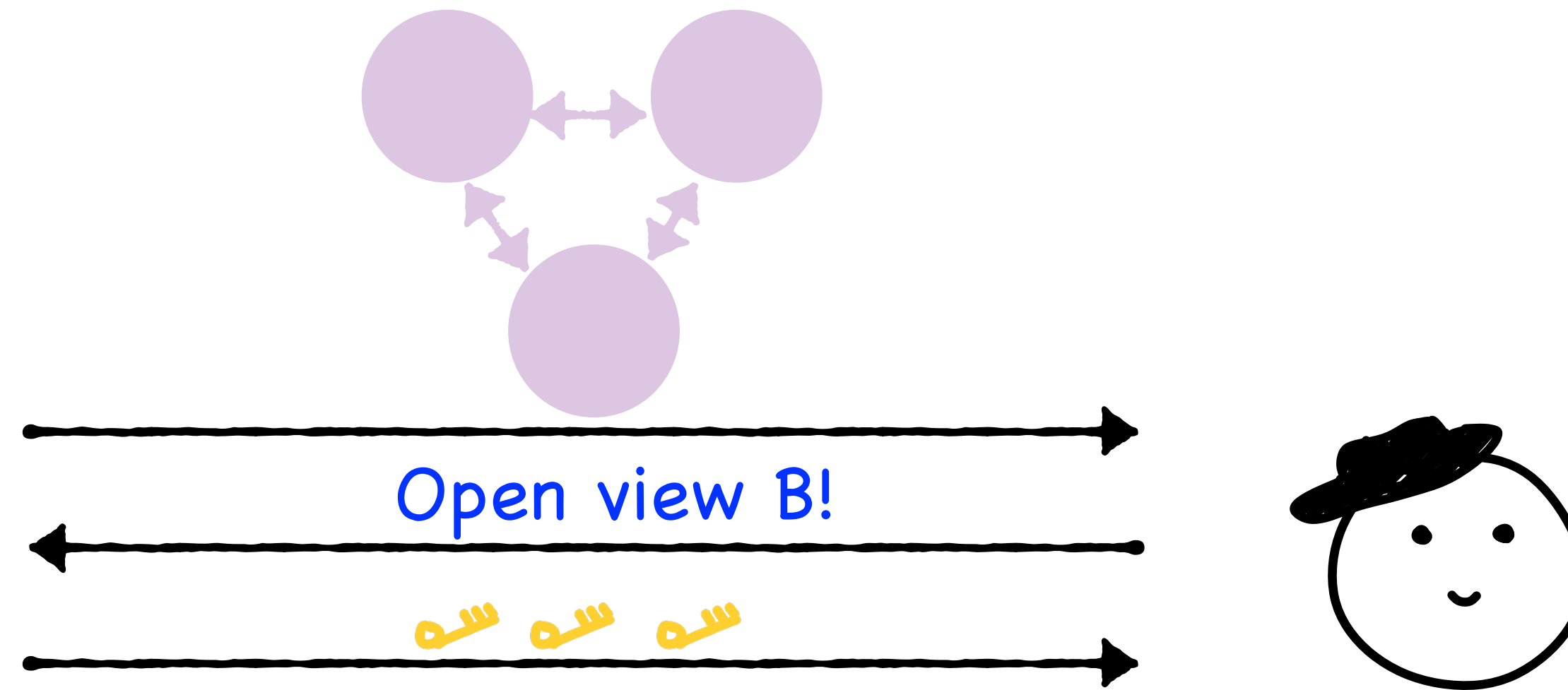
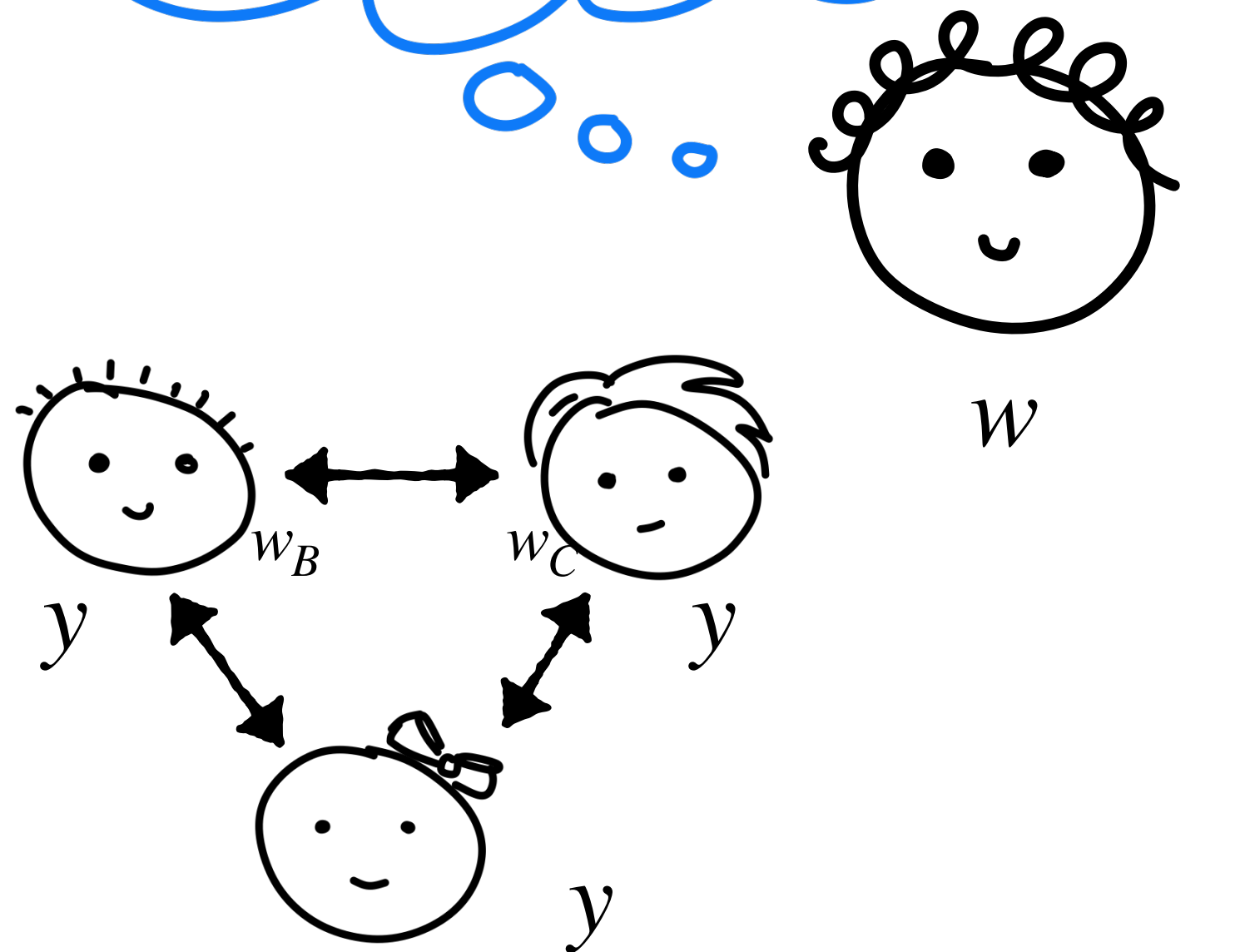








privacy:  $w_B, w_C$  alone look random  
together, they determine  $w$

# ZK...

Goals:

- ✓ completeness
- ✓ soundness
- ✓ ZK



Open(, )  $\rightarrow$  party i's choices  
Open(, )  $\rightarrow$  messages  
Open(, )  $\rightarrow$  messages

MPC for  $f(w_B, w_C, \cdot) = R(x, w_B + w_C)$

✓ 1-privacy

✓ perfect correctness

$n$  constraints on the committed stuff:

✓ one reveals nothing

✓ if all of them hold, the statement is true

repeat  $k$  times,  
s.t  $(2/3)^k$  is  
small enough.

Q: what does the simulator do?

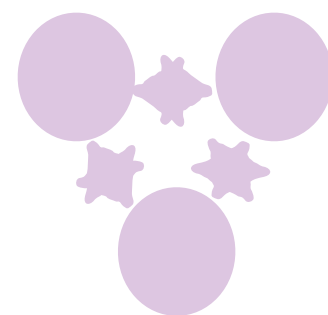
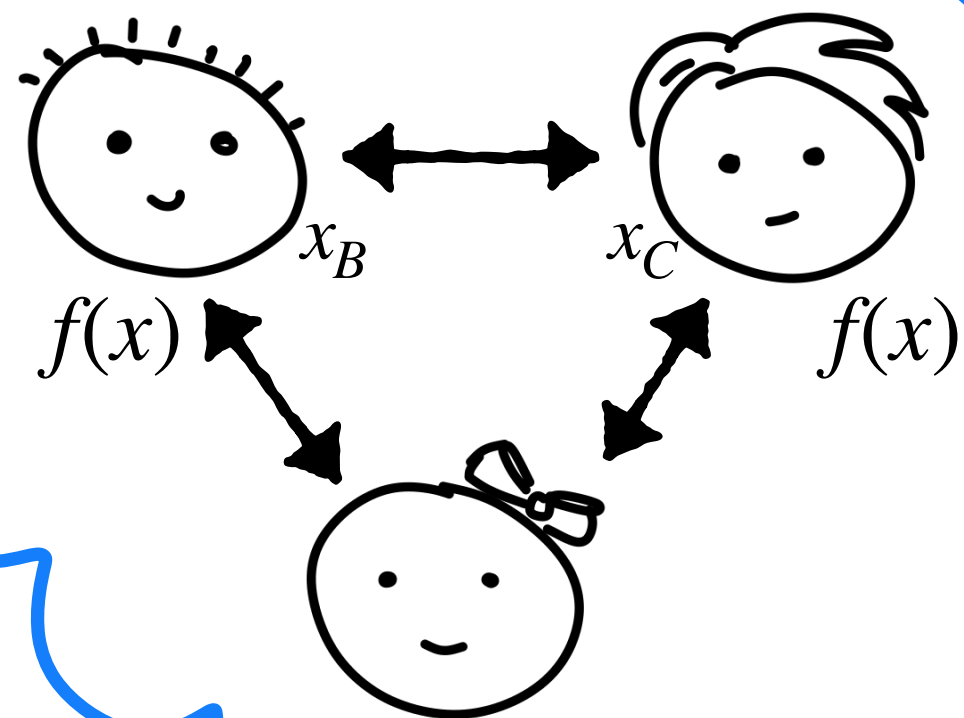
# ZKP from MPC: Summary

Goals:

- ✓ completeness
- ✓ soundness
- ✓ ZK



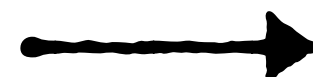
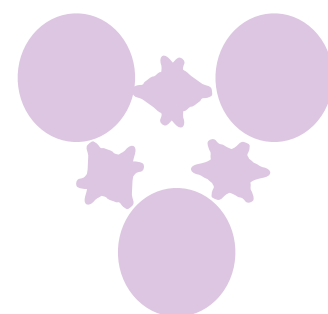
$x$



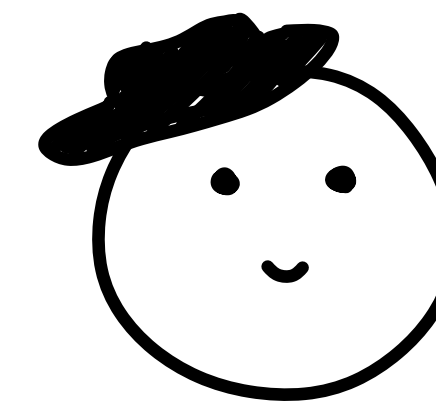
$i$



...



$i$



# ZKP from MPC

	Communication Complexity	Tools
Reduce to Sudoku (or something...)	$\text{poly}(k,  R )$	lightweight (commitments)
Run MPC	$O(k  R )$	heavyweight (i.e. “public key” operations)
Run MPC in the Head	$O(k  VIEW ) = O(k  R )$	

# ZKP from MPC

	Communication Complexity	Tools
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Run MPC in the Head	$O(k  VIEW ) = O(k  R )$	lightweight (commitments)